

Optimizing solution formulation to maximize oral absorption at early stages

Wenzhan Yang

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Outline

Oral absorption

Desired characteristics of solution formulations

Developing solution formulations

Case studies

Summary

Outline

Oral absorption

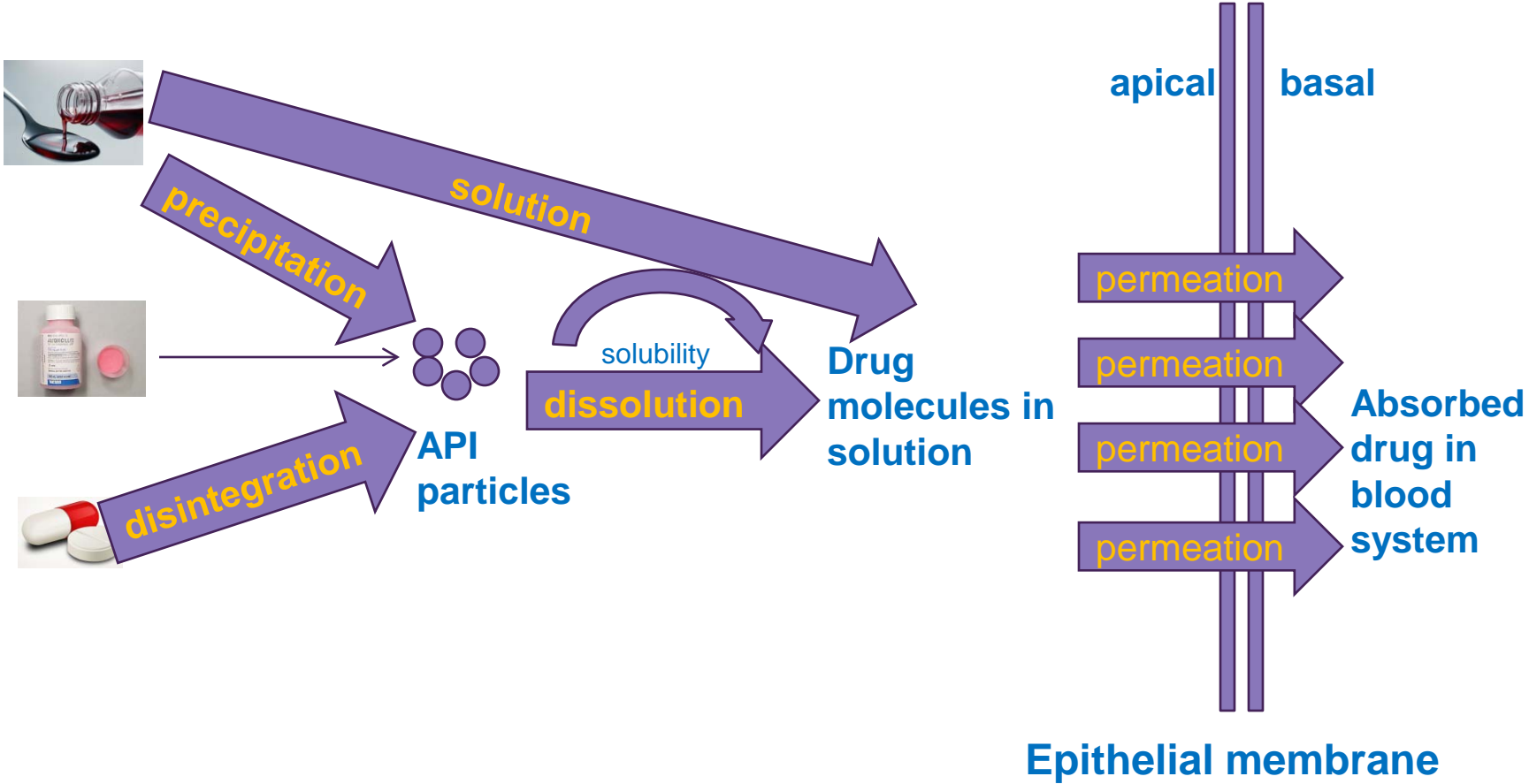
Desired characteristics of solution formulations

Developing solution formulations

Case studies

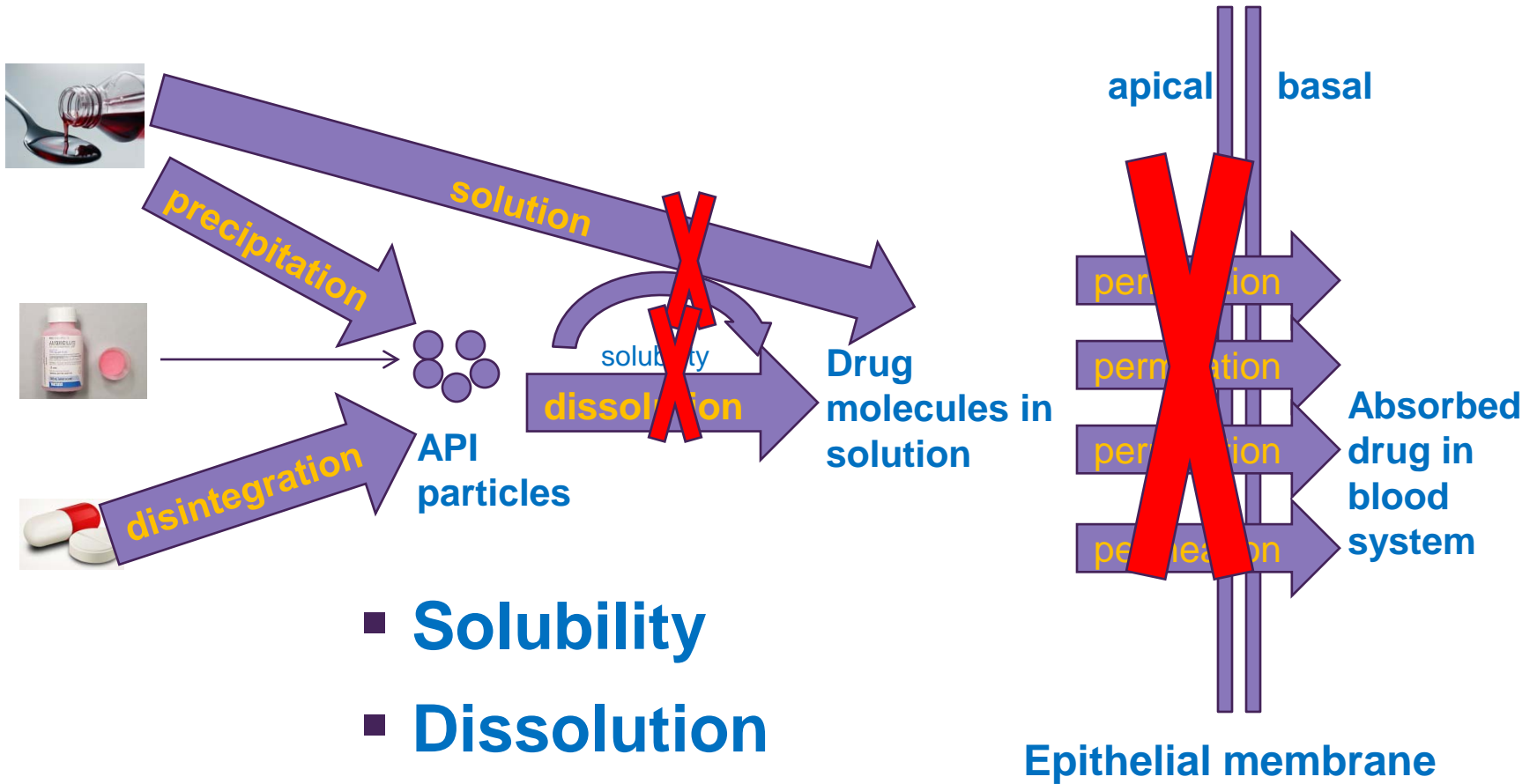
Summary

Oral absorption from a dosage



Gastrointestinal tract

Limiting factors for oral absorption



- **Solubility**
- **Dissolution**
- **Permeability**

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Desired characteristics for solutions

- **Desired characteristics**

- Stay in solution along GI
- Fast absorption
- Maximum & consistent exposure

- **Advantages**

- Widely used in pre-clinical and early clinical studies
- Easy for accurate dosing
- Dose flexibility
- Same formulation for all species

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Developing solution formulations

Factors

- **Compound characteristics**
 - pKa
 - Solubility
- **Species and animal model**
 - Physiology parameters
 - Dosing volume
 - Duration of the study

Developing solution formulations

Factors

■ Species and animal model

Species	Typical weight (kg)	Stomach Volume (mL)	Stomach pH	Intestine volume (mL) ⁽²⁾	Intestinal pH	Gastric-emptying time ⁽⁶⁾ (hr)	Plasma volume (mL) ⁽²⁾
Mouse	0.025	0.4 ⁽¹⁾	3 ⁽¹⁾	1.5	<pH5.2 ⁽¹⁾	NA	1.0
Rat	0.25	3 ⁽¹⁾	2.5 ⁽¹⁾	11.3	<6.6 ⁽¹⁾	15-30 min	7.8
Dog	10	50	2.7-8.3 ⁽⁶⁾	480	6.4 ⁽²⁾	4	515
Monkey	5	100 ⁽³⁾	1-3 ⁽⁴⁾	230	5.6-9.0 ⁽⁵⁾	2-4	224
Human	70	250	1.4-2.1 ⁽³⁾	1650	5.4-7.5 ⁽²⁾	2-4	3000

■References: (1) McConnell, Emma L.; Basit, Abdul W.; Murdan, Sudaxshina . **Measurements of rat and mouse gastrointestinal pH, fluid and lymphoid tissue, and implications for in-vivo experiments.** J. Pharm. 2-4Pharmacol. 2008, 60 (1): 63-70; (2) **Davies B, Morris T.** Physiological parameters in laboratory animals and humans. Pharm Res. **1993** ,10(7):1093-5; (3) **Kararli TT.** Comparison of the gastrointestinal anatomy, physiology, and biochemistry of humans and commonly used laboratory animals. Biopharm Drug Dispos. **1995** ,16(5):351-80; (4) Kondo, H., Takahashi, Y., Watanabe, T., Yokohama, S., Watanabe, J., 2003. Gastrointestinal Transit of Liquids in Unfed Cynomolgous Monkeys. Biopharmaceutics & Drug Disposition; 24: 131-140.; (5) Dressman and Yamada, 1991; (6) Seshadri Neervannan. Preclinical formulations for discovery and toxicology: physicochemical challenges. Expert Opin. Drug Metab. Toxicol. 2006, 2(5): p718

Developing solution formulations

Factors

■ Route of administration

Species	Oral	IV bolus	IV slow injection	Subcutaneous (SC)	Intraperitoneal (IP)
Preferred pH range	2-10	4-9	4-9	4-8	4-9
Dosing volume (mL)					
Mouse	10	10	25	5	10
Rat	10	5	20	5	10
Guinea-pig	10	5	20	5	10
Dog	5	1	5	1	3
Monkey	5	1	NA	1	3

Bolus injection is typically defined as administration over a short period of time (~1 minute)

Slow injection is defined as administration over a course of 5-10 minutes

Developing solution formulations

Factors

■ Excipients

- GRAS status
- Tolerability: LD50, MTD/NOEL/NOAEL (mg/kg)
- Source
 - Handbook of excipients
 - FDA inactive ingredient database
(<http://www.accessdata.fda.gov/scripts/cder/iig/index.cfm>)
 - FDA : Maximum Recommended Therapeutic Dose (MRTD) Database (mg/kg/day)
(<http://www.fda.gov/aboutfda/centersoffices/officeofmedicalproductsandtobacco/cder/ucm092199.htm#P>)
 - Supplier's data
- Impact on absorption

Developing solution formulations

Commonly used excipients

Category	Solvent or excipient with full name	Dosing route /common usage range
Aqueous	Water	oral, i.v.
	0.9% NaCl	i.v.
	D5W - 5% dextrose in water	i.v.
	Buffered solutions pH: 2 - 8	oral, i.v.
	NMP - N-methylpyrrolidon	10 - 20% (oral, i.v.)
Cosolvent	DMSO - dimethyl sulfoxide)	10 - 20% (oral or i.v.)
	Ethanol	10% (oral, i.v.)
	DMA - N,N-dimethylacetamide	10 - 30% (i.v.)
	PG - propylene glycol	30 - 60% (oral, i.v.)
	PEG400 - polyethylene glycol 400	40 - 100% (oral, i.v.)
Cyclodextrin	Transcutol - diethylene glycol monoethyl ether	30% (oral)
	HPBCD - hydroxyl-β-cyclodextrin	20 - 40% (oral, i.v.)
Surfactant	SBECD - sulfobutylet-β-cyclodextrin	20 - 40% (oral, i.v.)
	Posorbate 80 (wean 10) - polyoxyethylene sorbitan monooleate 8	5 - 10% (oral, i.v.)
	Cremophor EL - polyoxyl-35 castor oil	5 - 10% (oral, i.v.)
	Cremophor RH40 - polyoxyl 40 hydrogenated castor oil	5 - 10% (oral, i.v.)
	Sodium cholate	10 - 20% (oral, i.v.)
	Pluronic F3 or Pluronic 38 (81% polyvinylpyrrolidone and 19% of polypropylene glycol	20 - 50% (oral, i.v.)
	Solutol HS-15 - macrogol-15-hydroxystearate	20 - 50% (oral, i.v.)
	VitE-TPGS 1000 - d-α-tocopheryl polyethyl glycol 1000 succinate	20 - 50% (oral)
	Gelucire 44/14 - lauroyl macrogol-32 glycerides	20 - 50% (oral)
	Labrasol - caprylocaproyl macrogol-8-glycerides	40 - 60% (oral); 20 - 40% (i.v.)
	Lecithin - phosphatidylcholin	20 - 50% (oral, i.v.)
	Lipid	Soybean oil
Miglyol 812 - mid-chain triglyceride of caprylic/caproic acid		60 - 100% (oral); 20 - 40% (i.v.)
Labrafil 1944CS - polyoxyethylated oleic glycerides		30 - 60% (oral, i.v.)
Capmul MCM - medium chain mono- and diglycerides		30 - 60% (oral, i.v.)

Are solutions bioequivalent???

Note: usage range is based on mouse or rat model, single dosing at 10mL/kg

Outline

Oral absorption

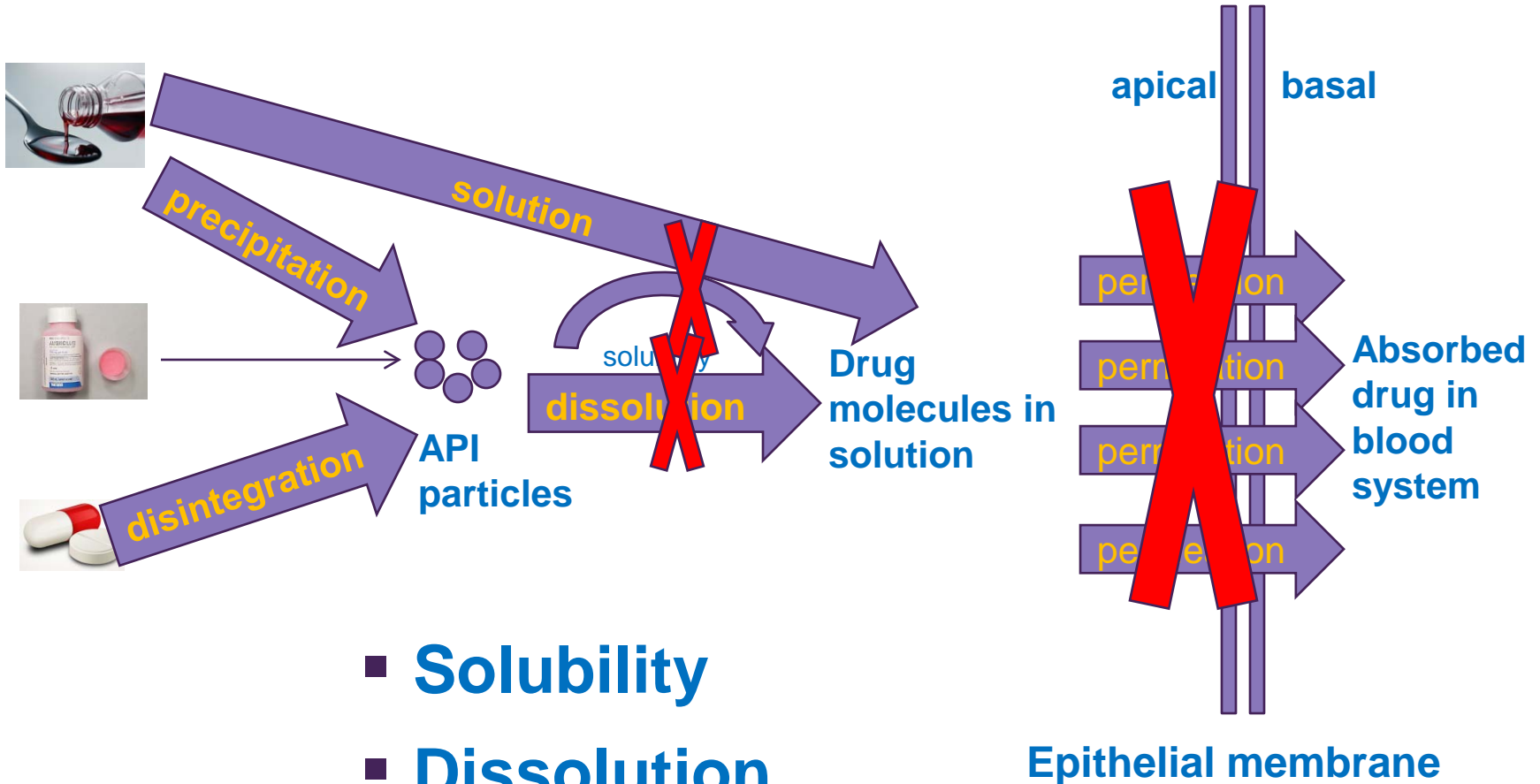
Desired characteristics of solution formulations

Developing solution formulations

Case studies

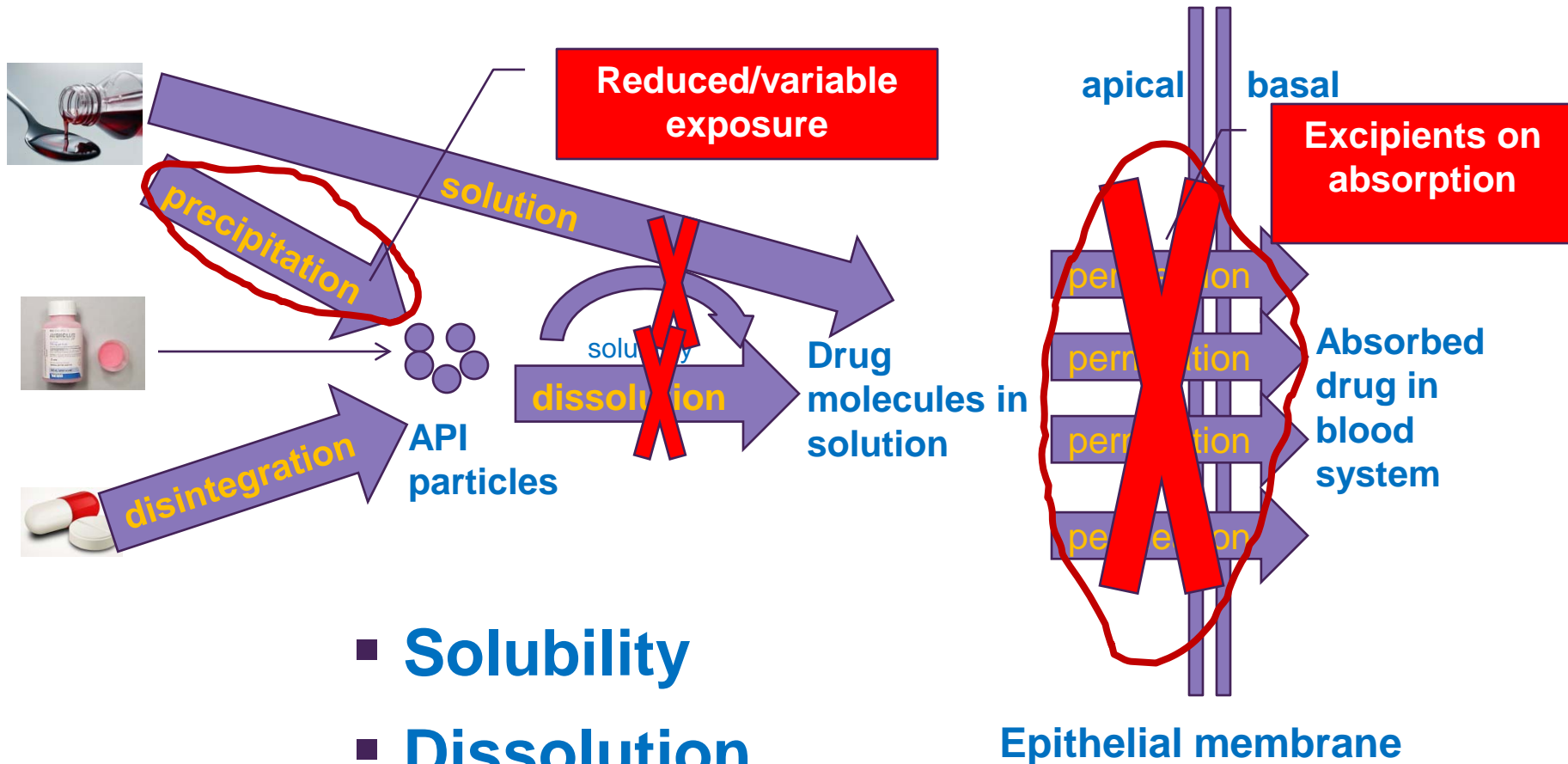
Summary

Limiting factors for oral absorption



- **Solubility**
- **Dissolution**
- **Permeability**

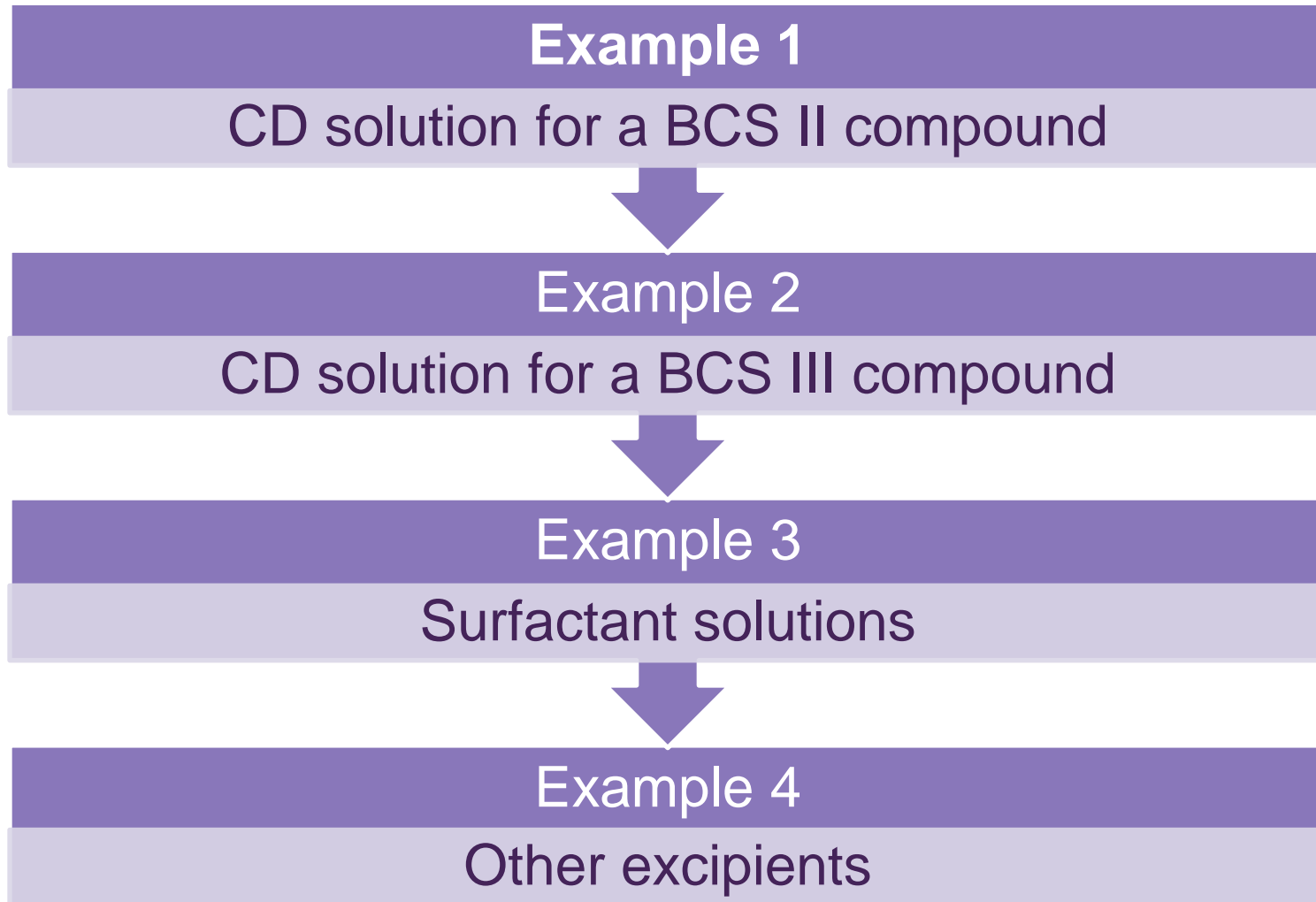
Limiting factors for oral absorption



- Solubility
- Dissolution
- Permeability

Case studies

Impact of excipients on oral exposure



Case studies 1: CD solution

Enhanced exposure for a BCS II compound

- **Rat: CD solution to be the best formulation**
- **Dog: comparable**

Species	Formulation	Cmax (ng/mL)	AUC (ng*h/mL)
Rat	10% CD, pH 10	8500	20220
Rat	0.5% HPMC, pH 10	7343	10137
Dog	10% CD, pH 10	7920	29606
Dog	0.5% HPMC, pH 10	NA	30698

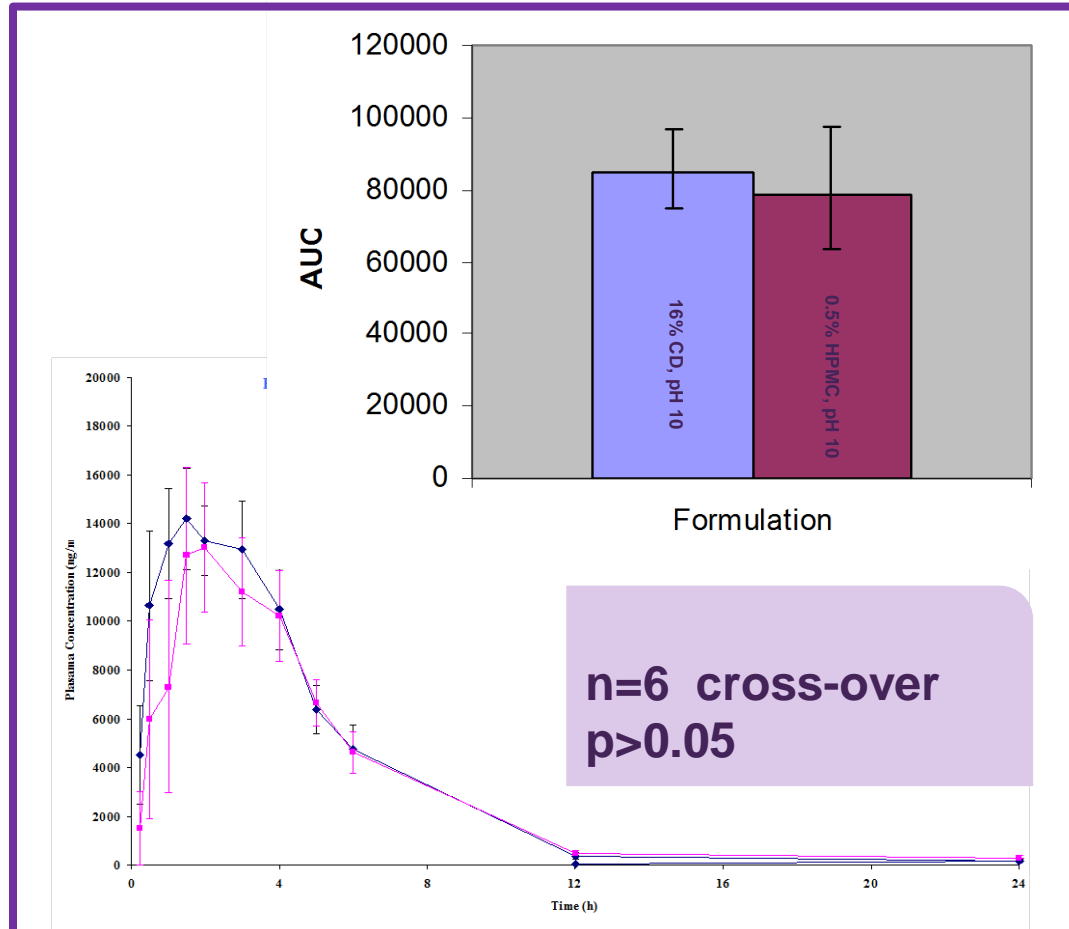
- **In vitro dissolution**

Formulation	16% CD, pH 10	40% CD, pH 10	20% CD, pH 10	0.5% HPMC, pH 10
% dissolved in FaSSIF pH 6.5	52	62	70 >	40

Case studies 1: CD solution

Enhanced exposure for a BCS II compound

- **Less variable/more consistent exposure in dog**



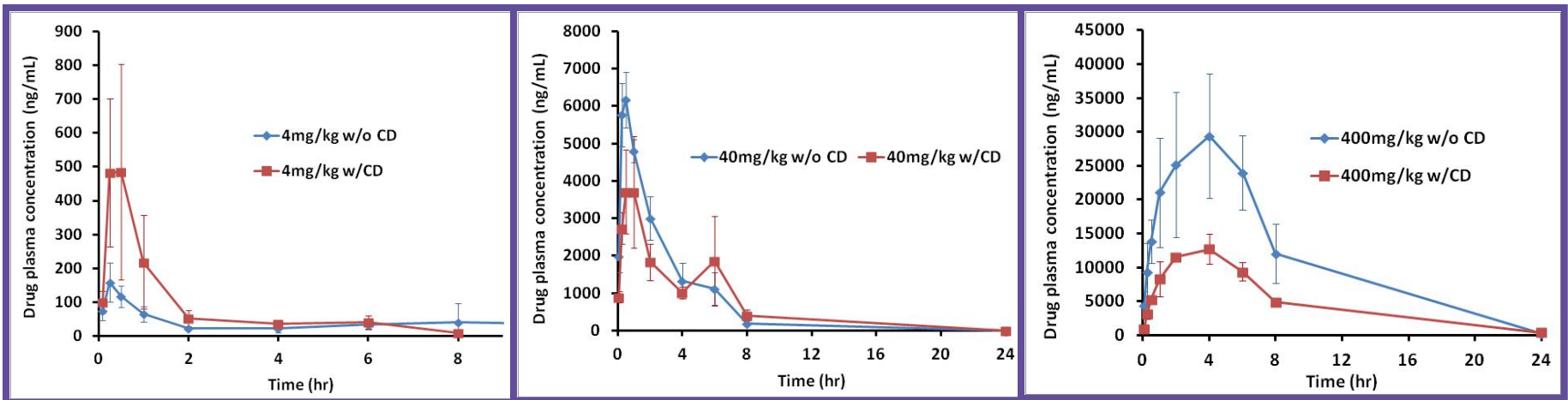
Case studies 2: CD solution

Reduced exposure for a BCS III compound

- CD is chosen for stability reasons

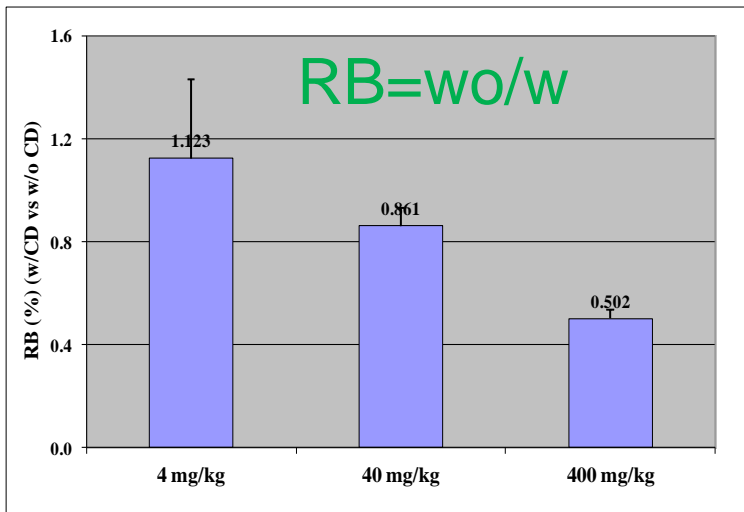
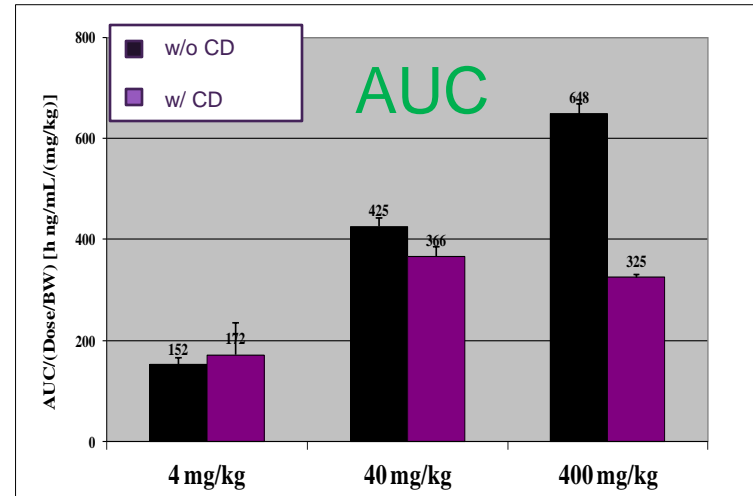
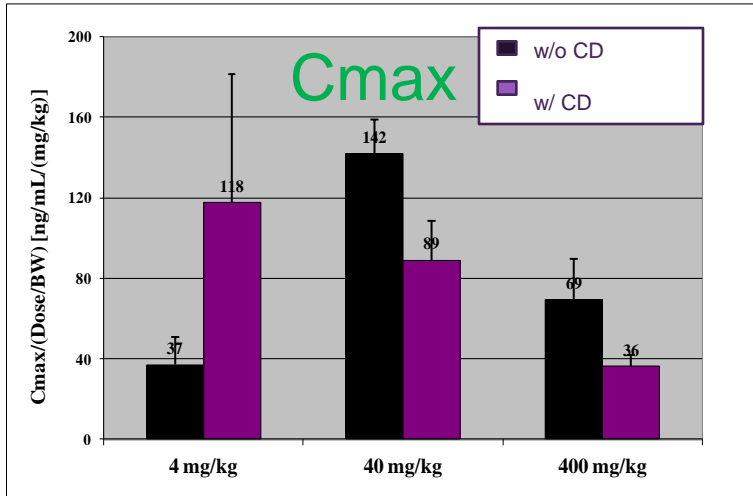
Low dose: faster & enhanced absorption

Higher dose: reduced absorption



Case studies 2: CD solution

Reduced exposure for a BCS III compound



- 4mg/kg: CD \uparrow C_{max} & AUC
- 40 & 400mg/kg: CD \downarrow C_{max} & AUC

Dose (mg/kg)	Tmax h (w/o CD)	Tmax h (w/ CD)
4	0.25±0	0.333±0.144
40	0.417±0.144	0.833±0.289
400	4±2	3.33±1.15

Case studies 2: CD solution

Reduced exposure for a BCS III compound

Why exposure ↓ at higher CD concentration?

- FaSSIF blank solubility: 3.6mg/mL
- Solution formulation: Drug/CD at 1:3 molar ratio

$$f_{free} = \frac{1}{1 + K_{11} \cdot [CD]}$$

Media		SGF	FaSSIF
Binding constant (M ⁻¹)		37	332

Drug concentration (mg/mL)	HPBCD (mg/mL)	SGF	FaSSIF blank
		Free drug %	
0.4	4	91	54
4	40	51	10
40	400	9	1

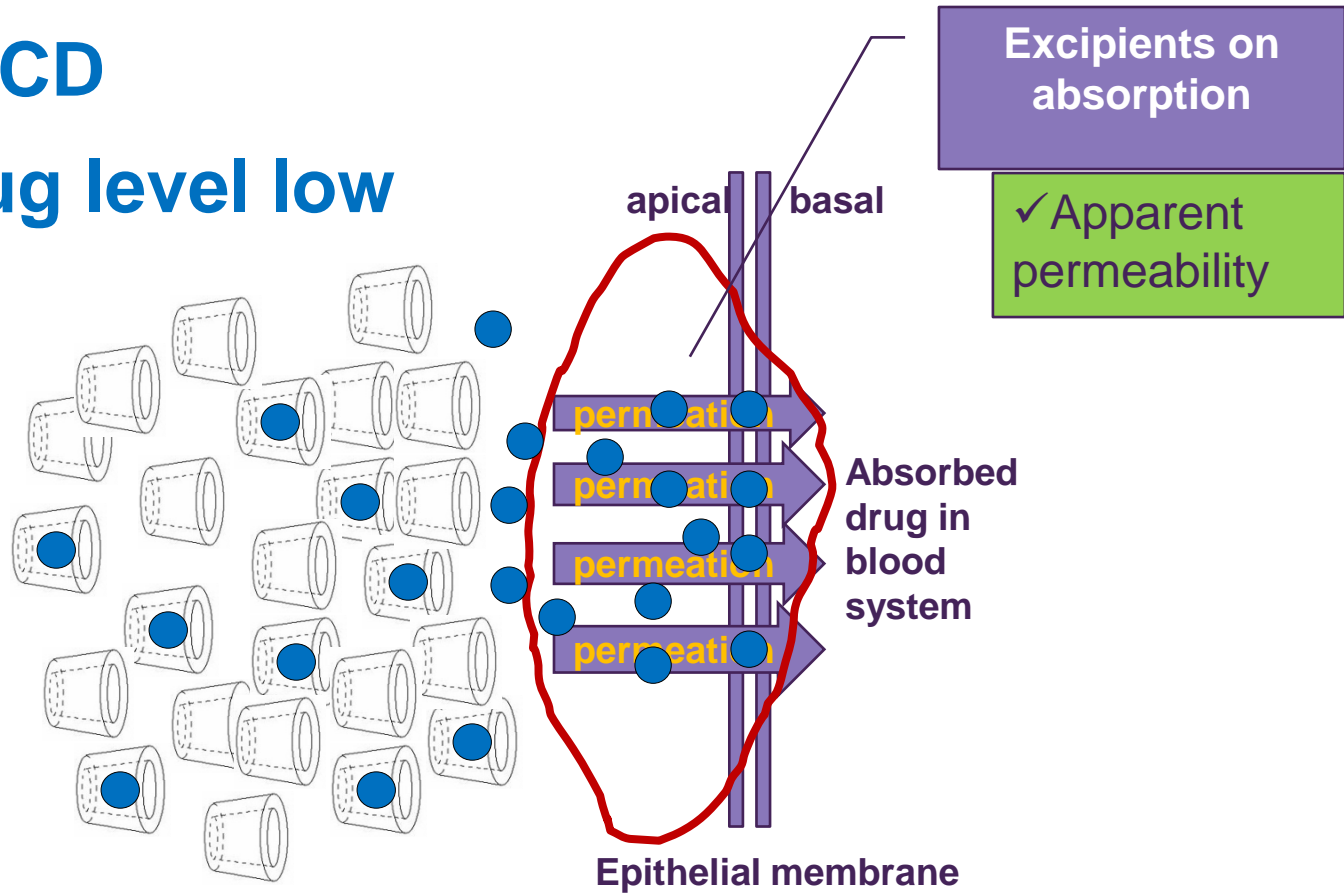
Excess CD



Case studies 2: CD solution

Reduced exposure for a BCS III compound

- **Excess CD**
- **Free drug level low**



CD solutions

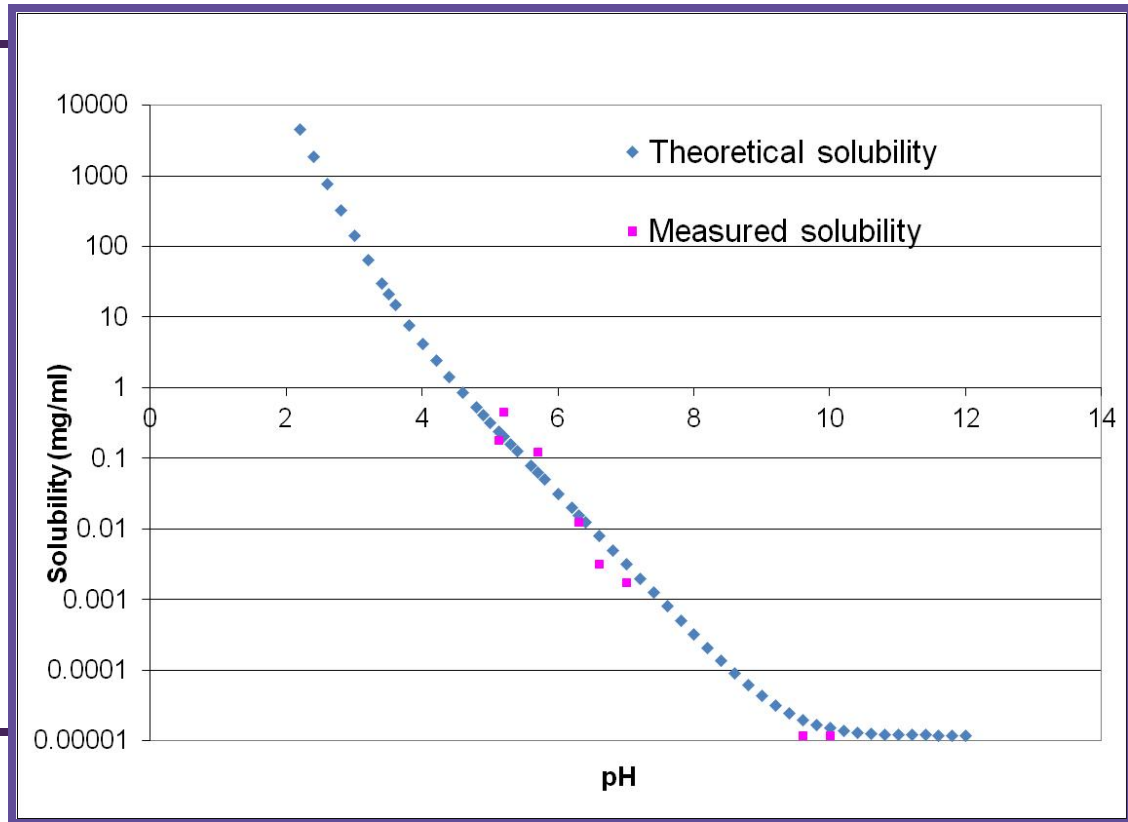
Case studies 1&2: CD solution Summary

- **For poorly soluble compounds**
 - Enhanced solubility/dissolution/exposure
 - Consistent exposure/reduced variability
- **Soluble compounds**
 - Cannot assume CD is not going to impact absorption for soluble compounds, e.g., BCS III
- **Impact on exposure**
 - Binding constants
 - Excess CD in formulation

Case studies 3: surfactant solutions

Reduced exposure for a BCS IV compound

- pKa: 9.42, 3.55, 2.08
- MWt: 450
- Log D: 2.69
- Solubility
 - SGF: >10mg/mL
 - FaSSIF: 0.07mg/mL
 - Phosphate buffer pH6.5: 0.02mg/mL
 - Water: 0.0000488 mg/mL
- Permeability
 - Low to moderate

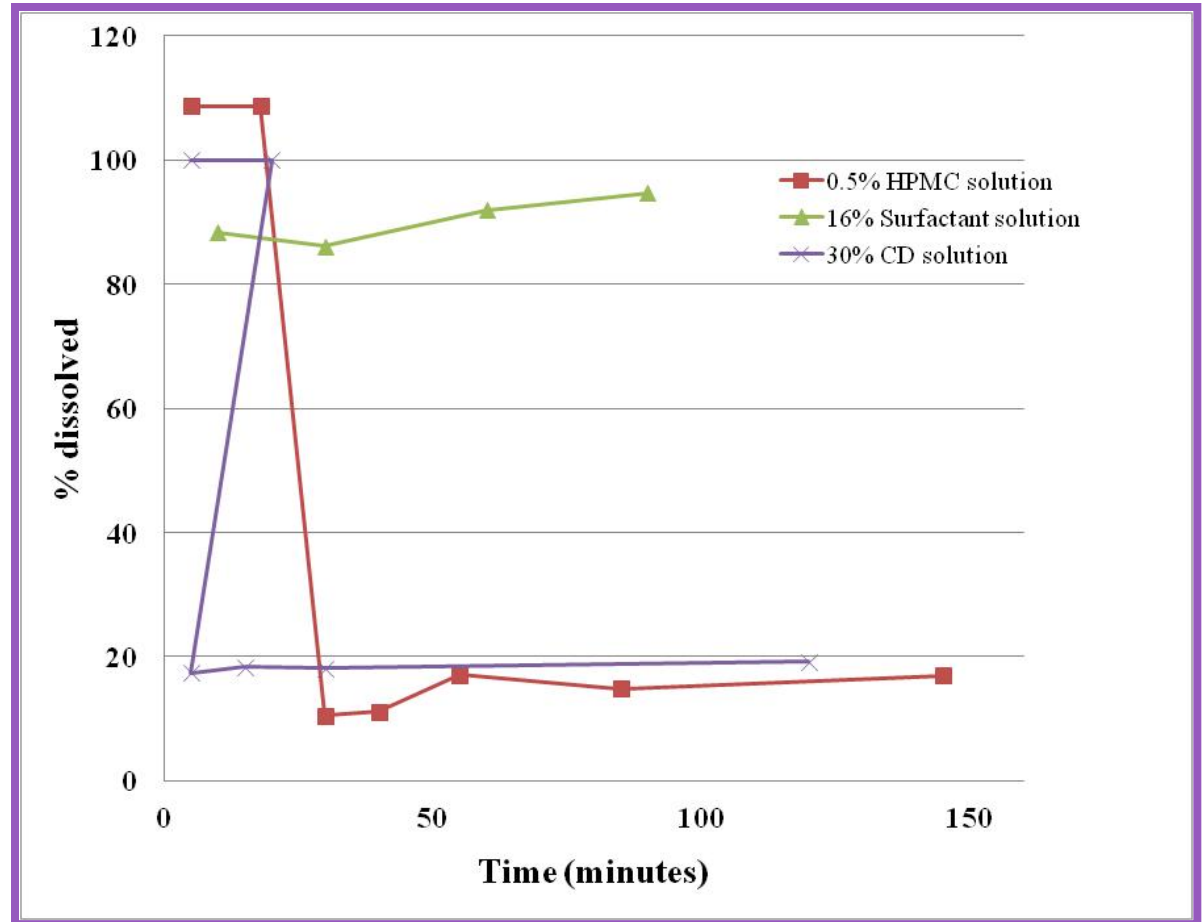


Case studies 3: surfactant solution

Reduced exposure for a BCS IV compound

■ In vitro pH-shift

- CD is no good
- The surfactant promising

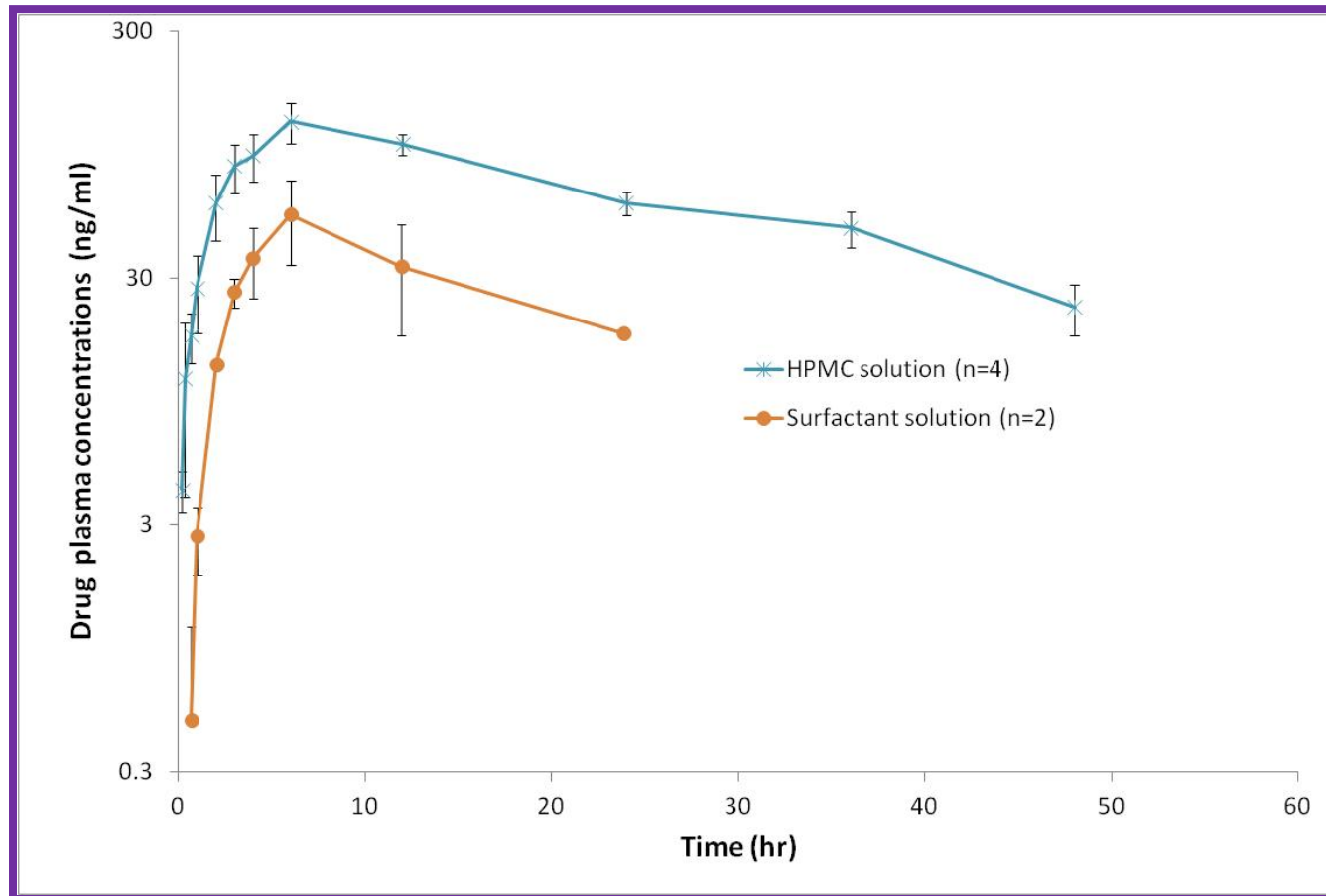


Case studies 3: surfactant solution

Reduced exposure for a BCS IV compound

■ In vivo dog PK

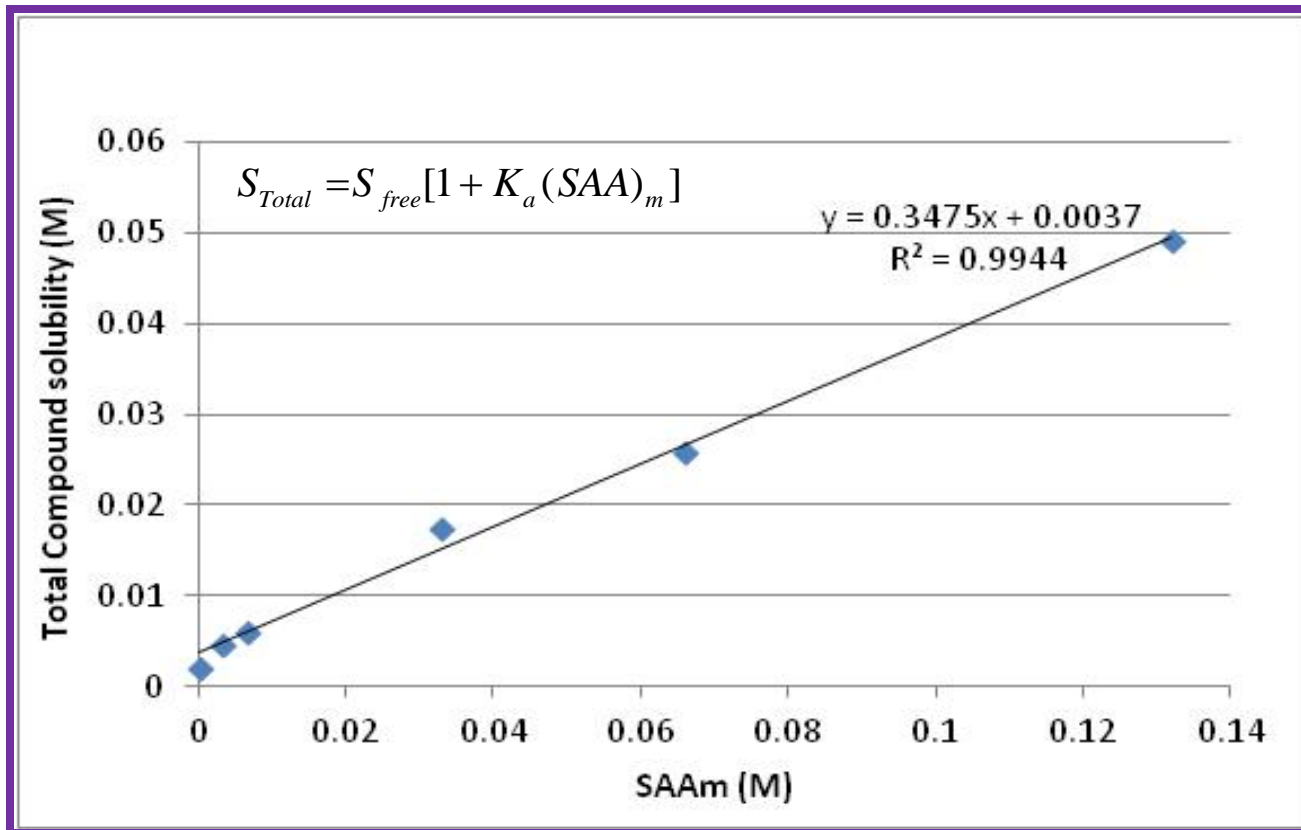
- 10mg/mL surfactant solution < 10mg/mL in HPMC



Case studies 3: surfactant solution

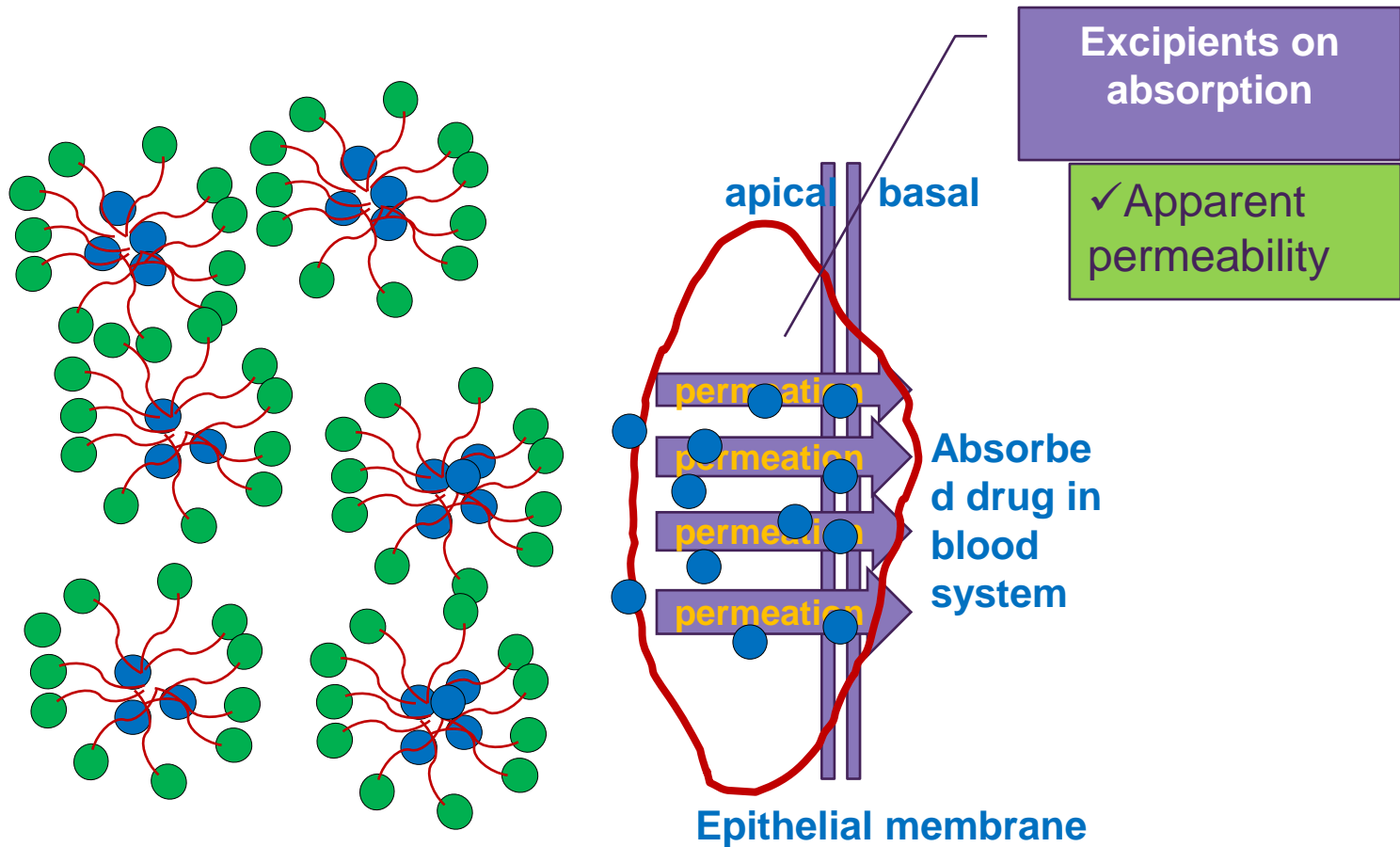
Reduced exposure for a BCS IV compound

- K_a : 165 M^{-1}
- At 10mg/mL , majority compound in the micells



Case studies 3: surfactant solution

Reduced exposure for a BCS IV compound



Surfactant solutions

Case studies 3: surfactant solution

Modified exposure from literature

■ Cremophor EL/tween 80

Agents	Test system	Effect(s)
Cremophor® EL		
Acf(N-Mef)NH ₂	Caco-2 cells	2.6-fold reduced permeability
Digoxin	Human	Decreased lag time
Paclitaxel	Human	2.0-fold decreased AUC ^a
	Mouse	1.4-fold decreased AUC ^b
Saquinavir	Human	5.0-fold increased AUC
Phytomenadione	Human (infant)	Decreased PIVKA-II
Tween® 80		
Albendazole	Rat	1.9-fold increased AUC
Cyclosporin	Rat	33-fold increased bioavailability ^c
Danazol	Dog	16-fold increased bioavailability
Digoxin	Rat intestine	Increased uptake
Griseovulvin	Human	1.5-fold decreased AUC
Indomethacin	Rat	1.6-fold increased AUC
Itazigrel	Rat	1.5-fold increased absorption
Methotrexate	Mouse	2.0-fold increased AUC
Tetracycline	Rat intestine	2.7-fold increased absorption

a As compared with a Tween® 80 formulation.

b As compared with a formulation containing 7-fold less Cremophor® EL.

c As compared with a nanosphere formulation.

AUC = area under the plasma concentration-time curve; **PIVKA-II** = des-gamma-carboxyprothrombin.

Case studies 4: other excipients

Reduced exposure from literature

■ Cosolvent: PEG 400

- Reduction in small intestinal transit time
- Limit oral absorption
- Nullify bioavailability benefit from solubilization

Volunteer	Mean gastric residence time (MGRT) (min)		Mean small intestinal transit time (MSITT) (min)		Mean caecum arrival time (MCAT) (min)	
	Control ^a	Test ^b	Control	Test	Control	Test
1	17	18	200	144	217	162
2	13	19	250	169	263	188
3	30	10	227	138	257	148
4	17	23	256	128	273	151
5	36	31	212	190	248	221
6	23	25	197	138	220	163
7	13	15	197	133	210	148
8	30	19	297	271	327	290
9	13	18	320	137	333	155
10	11	23	204	85	215	108
Mean	20	20	236	153	256	173
s.d.	9	6	44	49	45	50
<i>P</i> value	0.948		<0.001		<0.001	

^a Control = orange juice preparation.

^b Test = orange juice + PEG 400 preparation.

Control: 150mL of orange juice

Test: 150mL of orange juice containing 10g PEG 400

■ Other solution additives

- Mannitol/sorbitol: reduce bioavailability of drugs with low intestinal permeability in amounts sometimes used in oral liquid dosage forms

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Summary

- **Solution preferable at early stage**
- **Optimization**
 - In vitro/in vivo
 - Optimal amount/degree of supersaturation
 - % free drug at the absorption site
- **Precipitation inhibition**
 - Improve solubility (surfactant/CD)
 - Improve re-dissolution upon GI precipitation (surfactant/CD)
 - Inhibit precipitation to maintain super-saturation in GI tract (surfactant/CD/HPMC)
- **Impact of excipients on oral absorption**
 - Positive /Negative: solubility/dissolution/apparent permeability
 - Effect on GI transit

Are solutions

bioequivalent???

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