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OMICS Group has organized 1000+ conferences, workshops and national symposiums across the major cities including San Francisco, Las Vegas, San Antonio, Omaha, Orlando, Raleigh, Santa Clara, Chicago, Philadelphia, Baltimore, United Kingdom, Valencia, Dubai, Beijing, Hyderabad, Bengaluru and Mumbai.

# Case Studies of Human Flora and Spore Contamination in Cleanrooms



Jim Polarine MA.

August 17, 2015

Science & Solutions for Life

# Agenda

- ✓ **Case Studies in Bioburden Control**
- ✓ Starting Up a New Cleanroom



# Bioburden Agenda

- ✓ Operator Contamination
  - Fungal Spore Contamination
  - Bacterial Spore Contamination



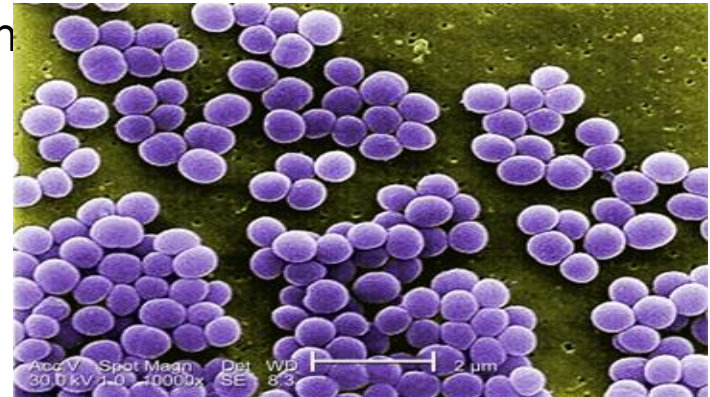
## Operator contamination

- *Staphylococcus*
- *Propionibacterium acnes*



# Human Skin Flora

- *Staphylococcus* cleanroom outbreak
  - Source
    - Gowning Material
    - Traced back to one operator
    - Skin infection
    - Another case was from a Tom
    - Non-sterile drug product



Courtesy Ann Larson



# *Propionibacterium acnes*

- Gowning room
  - BSL Hood
  - Sources
    - Operator with acne
      - Solution
        - » Antimicrobial Handwash
        - » Antimicrobial Bodywash



Courtesy Ann Larson



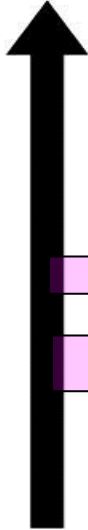


# Agenda

- ✓ Operator Contamination
- ✓ Fungal Spore Contamination
- Bacterial Spore Contamination



# VALIDATION – Microorganism Selection

	Microorganism	Examples
 <p>More Resistant</p> <p>Less Resistant</p>	Prions	Scrapie, Creutzfeld-Jacob disease, Chronic wasting disease
	Bacterial Spores	<i>Bacillus</i> , <i>Geobacillus</i> , <i>Clostridium</i>
	Protozoal Oocysts	<i>Cryptosporidium</i>
	Helminth Eggs	<i>Ascaris</i> , <i>Enterobius</i>
	Mycobacteria	<i>Mycobacterium tuberculosis</i> , <i>M. terrae</i> , <i>M. chelonae</i>
	Small, Non-Enveloped Viruses	Poliovirus, Parvoviruses, Papilloma viruses
	Protozoal Cysts	<i>Giardia</i> , <i>Acanthamoeba</i>
	Fungal Spores	<i>Aspergillus</i> , <i>Penicillium</i>
	Gram negative bacteria	<i>Pseudomonas</i> , <i>Providencia</i> , <i>Escherichia</i>
	Vegetative Fungi and Algae	<i>Aspergillus</i> , <i>Trichophyton</i> , <i>Candida</i> , <i>Chlamydomonas</i>
	Vegetative Helminths and Protozoa	<i>Ascaris</i> , <i>Cryptosporidium</i> , <i>Giardia</i>
	Large, non-enveloped viruses	Adenoviruses, Rotaviruses
	Gram positive bacteria	<i>Staphylococcus</i> , <i>Streptococcus</i> , <i>Enterococcus</i>
Enveloped viruses	HIV, Hepatitis B virus, Herpes Simplex virus	

From McDonnell, “Antisepsis, Disinfection, and Sterilization: Types, Action, and Resistance” 2007, ASM Press



# Fungal Spores

- *Penicillium*
- *Aspergillus*
- *Cladosporium*



# Common sources of Spores

- Items brought into the Cleanroom
  - Bags, Boxes, Intervention Equipment, Pallets, Pallet Jacks, Scrubbers, Cart Wheels, Shoes, Shoe Covers
  - Raw Materials



## *Penicillium*

- ISO-7 Cleanrooms
- Action Levels of 10 and picking up >100
  - Engineering Investigating
  - HVAC
  - Duct Work
  - HEPA Filters
  - Cooling Coils
  - Wall Coverings
  - Airflow Vents



## *Penicillium* Investigation

- Entry and Exit Procedures
- Gowning Procedure
- Cart Wheels
- Construction
  - Further Investigation
  - Use of Sporicides
  - Containers in the Cleanroom
  - Coldroom Cleaning Procedures
  - Documentation
  - Assignable Cause



# *Penicillium*



Courtesy Ann Larson



# *Aspergillus*

- ISO-5 Cleanroom
  - Source
    - Door Kick Plate
    - High Impingement Spraying Device
- Exceeding Limits in ISO-7 areas
  - Dock Doors proximal to ISO-7 cleanroom
  - Storage room with limited control
  - No limits for mold spores
  - Limited control for incoming and outgoing items



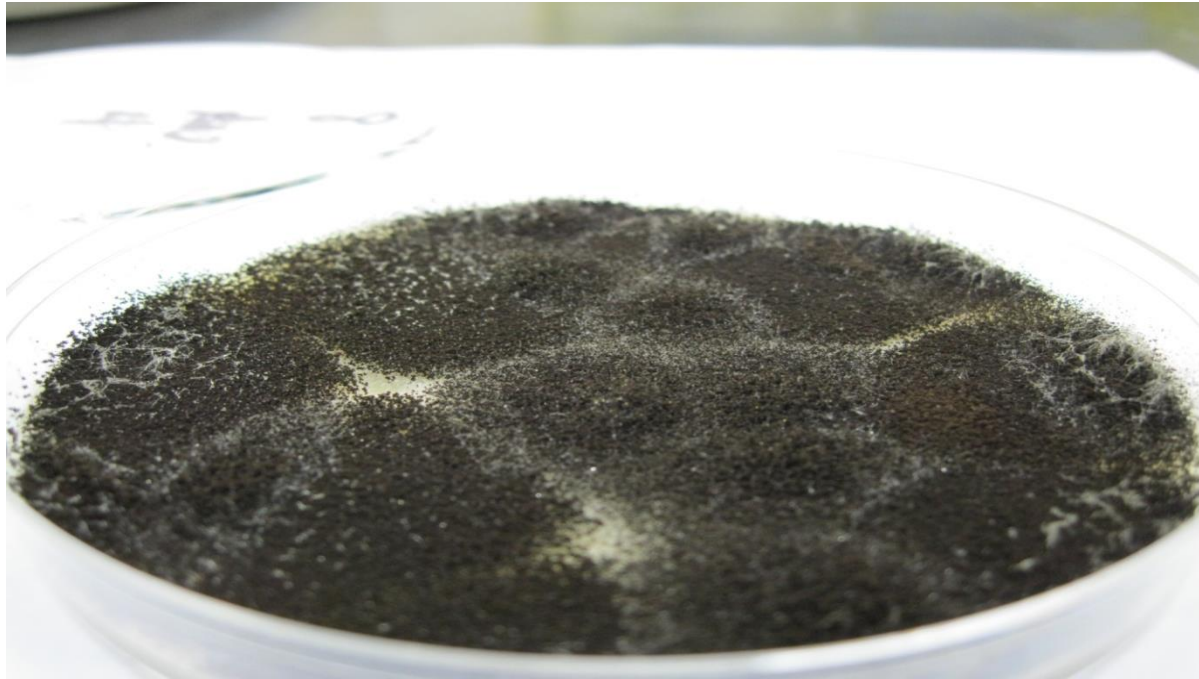


## *Aspergillus* Investigation

- Sporocidal usage in pass thru
- Clean and dirty area on the Dock
- Better control of gowning area
- Cart Wheel control
- Better gowning control



# Fungi



Courtesy Dan Klein



# Cleanroom Fungi

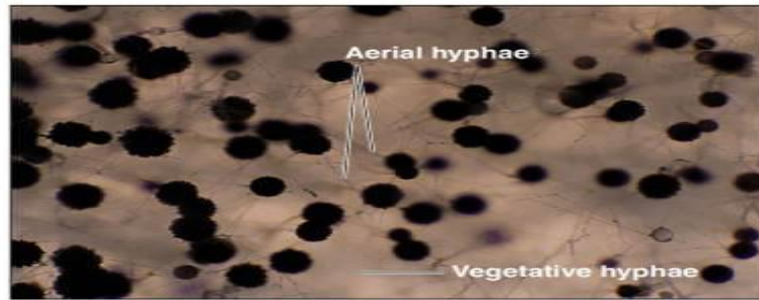


Courtesy Dan Klein



# Inoculum Preparation—Fungal Spores

- Cultures need to be incubated for a sufficient length of time before harvesting spores



(a) *Aspergillus niger*



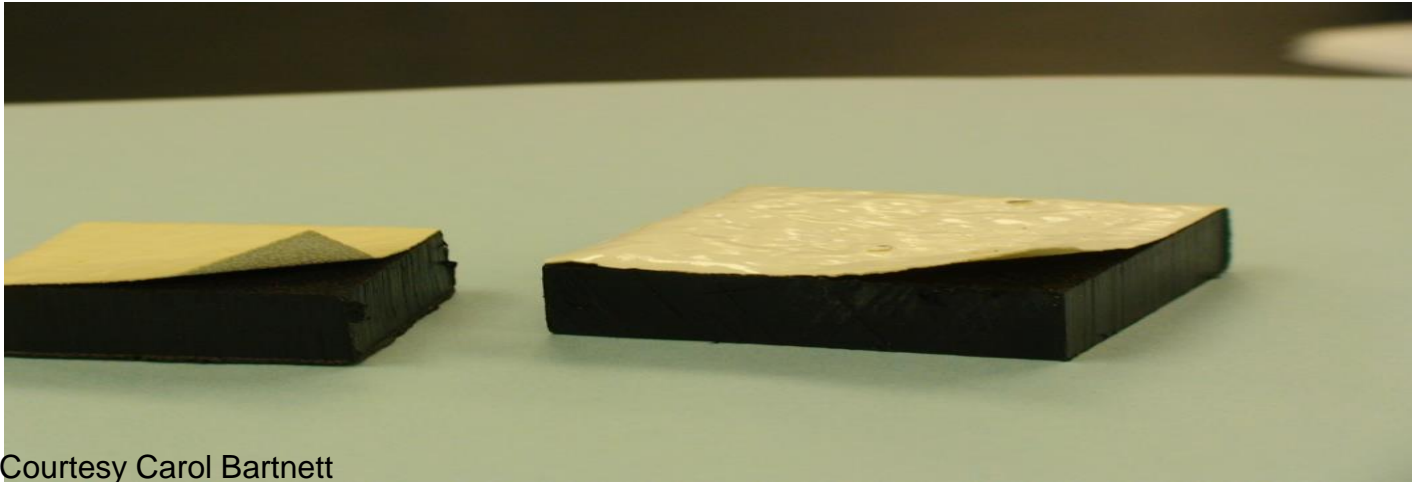
(b) *A. niger* on agar

Courtesy Dan Klein



# Surface Preparation

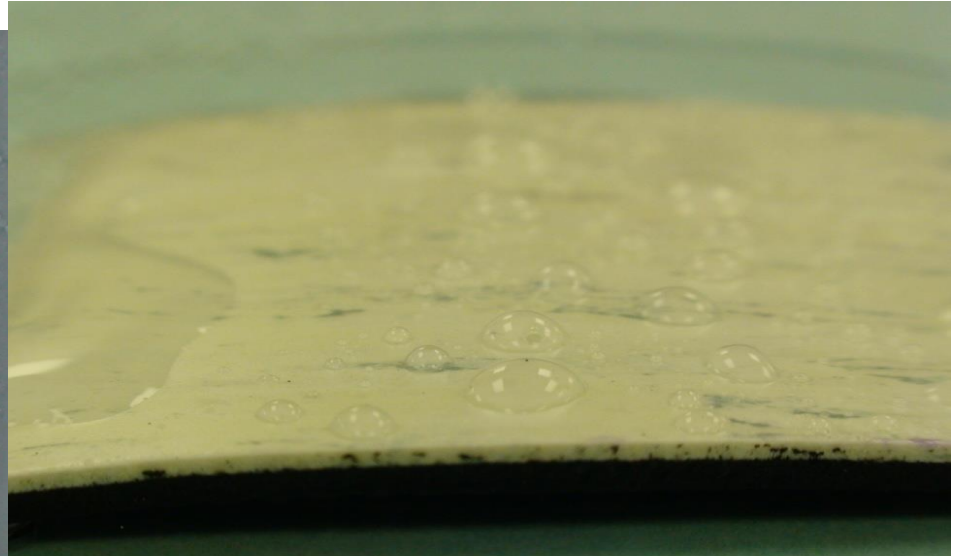
- Autoclaving may not be acceptable for some surfaces (Saniflex)



Courtesy Carol Barnett



# Surface Tension Issue



## *Cladosporium*

- Elevated levels in Puerto Rico
- Common in Southern CA
  - Increase Sporicide Usage
  - Sources
    - Humidity and temperature
    - Burning of Sugar Cane fields





# Case Study on Substrates

Efficacy (log reduction) of Low pH phenolic (Environ LpH se) : (1:256 Dilution) against test microorganisms on representative surfaces

Surface	<i>Staphylococcus epidermidis</i>	<i>Pseudomonas aeruginosa</i>	<i>Corynebacterium glutamicum</i>	<i>Candida albicans</i>	<i>Aspergillus niger</i>	<i>Penicillium chrysogenum</i>
Stainless Steel	6.62	>6.10 <sup>b</sup>	4.18	>4.31 <sup>b</sup>	<3.00 <sup>c</sup>	4.95
Glass	6.85	6.42	5.26	>5.80 <sup>b</sup>	2.98	5.11
Aluminum	6.35	5.69	5.14	>3.93 <sup>b</sup>	<3.00 <sup>c</sup>	3.48
Epoxy	4.36	4.45	4.48	3.19	<3.00 <sup>c</sup>	<3.00 <sup>c</sup>
Enamel	>6.05 <sup>b</sup>	>5.72 <sup>b</sup>	5.45	>3.92 <sup>b</sup>	<3.00 <sup>c</sup>	2.83
Acrylic	4.53	6.06	4.49	2.92	<3.00 <sup>c</sup>	<3.0 <sup>c</sup>
Mipolam	4.36	3.87	4.29	4.37	<3.00 <sup>c</sup>	3.25
Vinyl	4.08	3.68	3.93	2.61	<3.00 <sup>c</sup>	2.1
Hardwood	5.18	>4.54 <sup>b</sup>	5.26	3.2	<3.00 <sup>c</sup>	2.59
Melamine Covered Wood	>5.38 <sup>b</sup>	>5.64 <sup>b</sup>	>5.09 <sup>b</sup>	>5.12 <sup>b</sup>	3.65	3.95
Plastic	>5.73 <sup>b</sup>	>5.32 <sup>b</sup>	>5.05 <sup>b</sup>	>4.04 <sup>b</sup>	<3.00 <sup>c</sup>	2.44
Plexiglas	>5.90 <sup>b</sup>	5.62	4.83	>4.40 <sup>b</sup>	<3.00 <sup>c</sup>	3.85
Print	5.85	5.86	5.74	4.51	<3.00 <sup>c</sup>	3.38
Chromium	6.55	5.95	6.63	4.08	<3.00 <sup>c</sup>	2.61

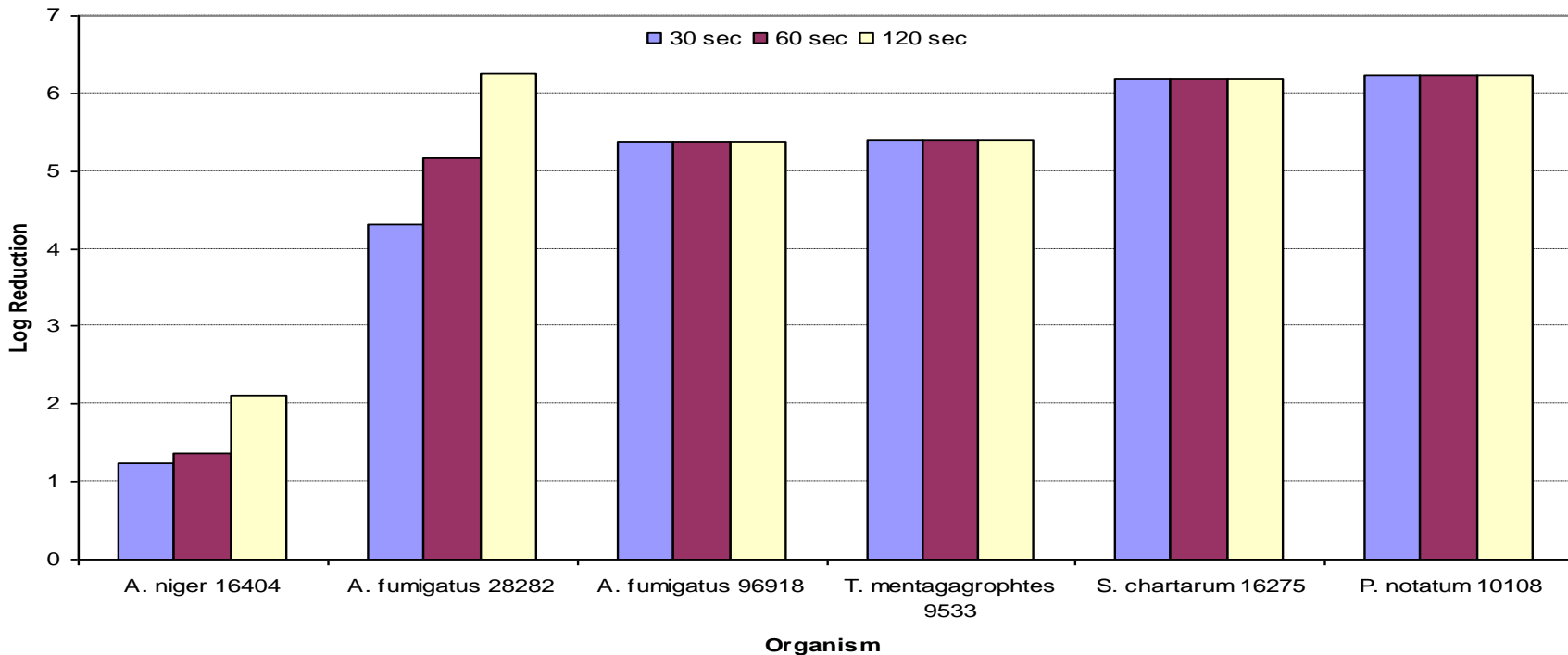
<sup>a</sup> Disinfectant Efficacy = (Log MSP<sub>(positive control)</sub> - Log MSP<sub>(test coupons)</sub>), where MSP<sub>(Positive Control)</sub> = Mean surviving population on positive control coupons; MSP<sub>(test coupon)</sub> = Mean surviving population on test coupons after disinfectant treatment; <sup>b</sup> Each of triplicate coupons showed no growth after disinfectant treatment; <sup>c</sup> Each of triplicate coupons showed TNTC growth





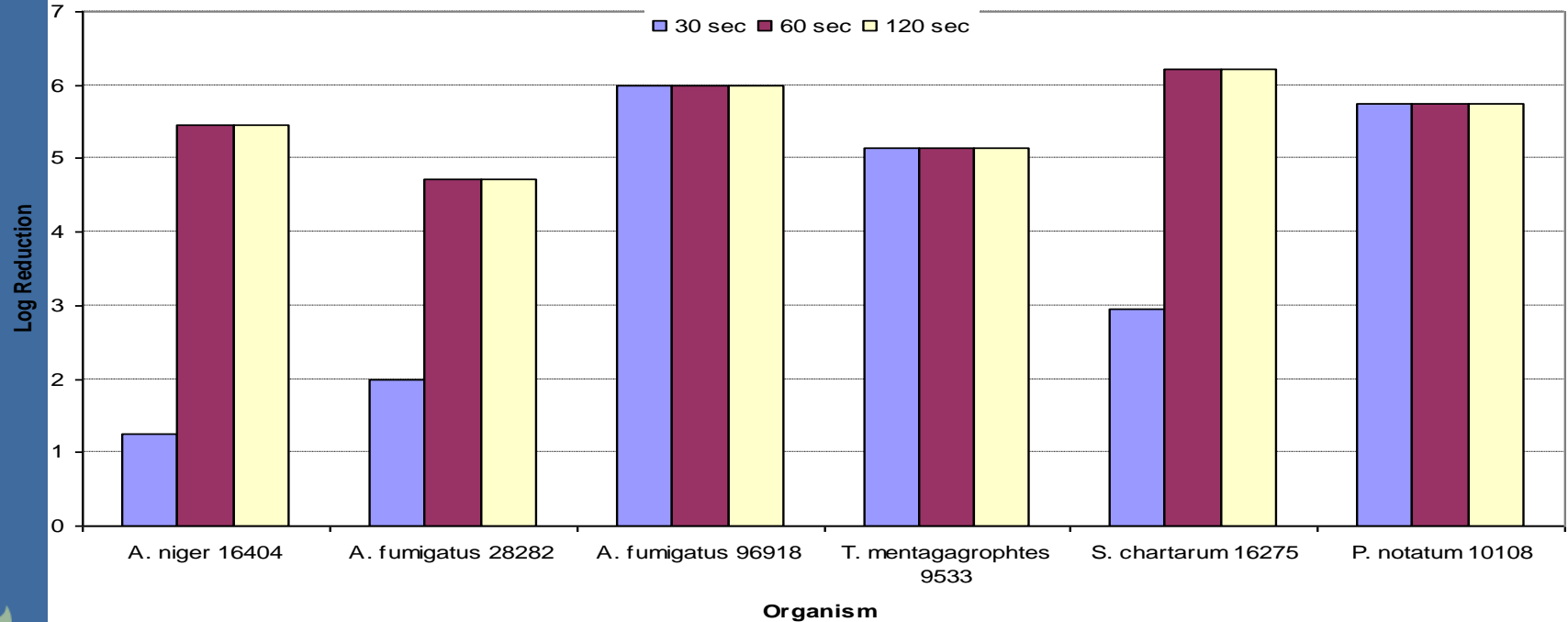
# 70% IPA Efficacy Against Molds

Fungicidal Activity of 70% Isopropyl Alcohol using Time Kill Method



# H2O2/PAA RTU Against Molds

### Fungicidal Activity of H2O2/PAA RTU using Time Kill Method



# Agenda

- ✓ Operator Contamination
- ✓ Fungal Spore Contamination
- ✓ Bacterial Spore Contamination

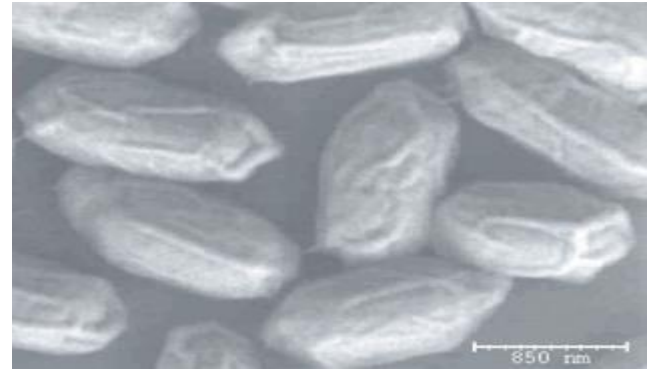
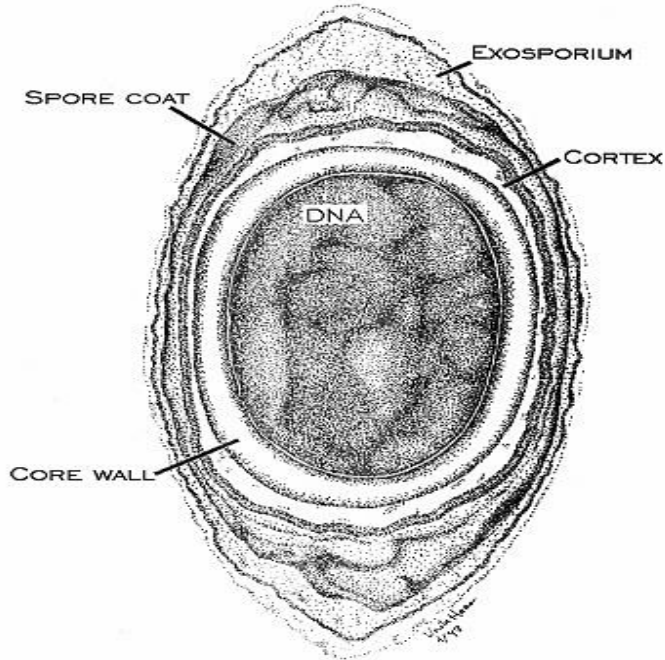


# Bacterial Spores

- *Bacillus cereus*
- *Bacillus circulans*



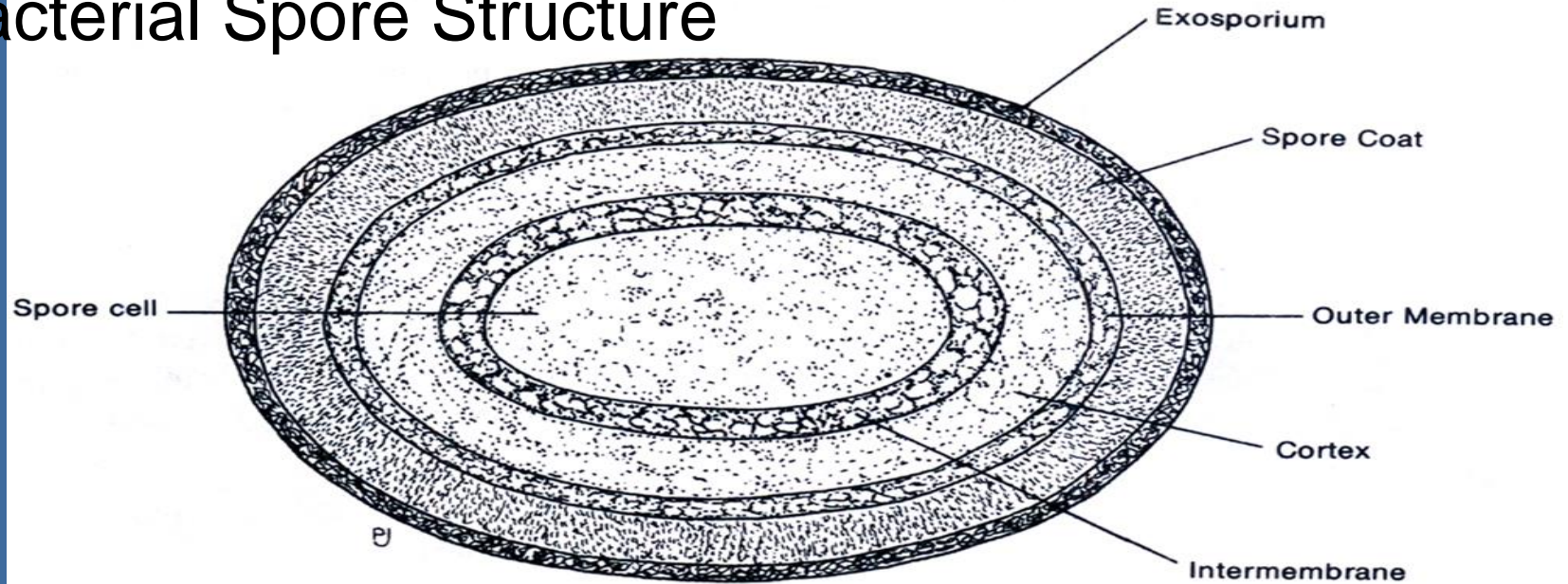
# Bacterial Endospore



Courtesy Dan Klein



# Bacterial Spore Structure



**Fig. 8.1. Endospore**



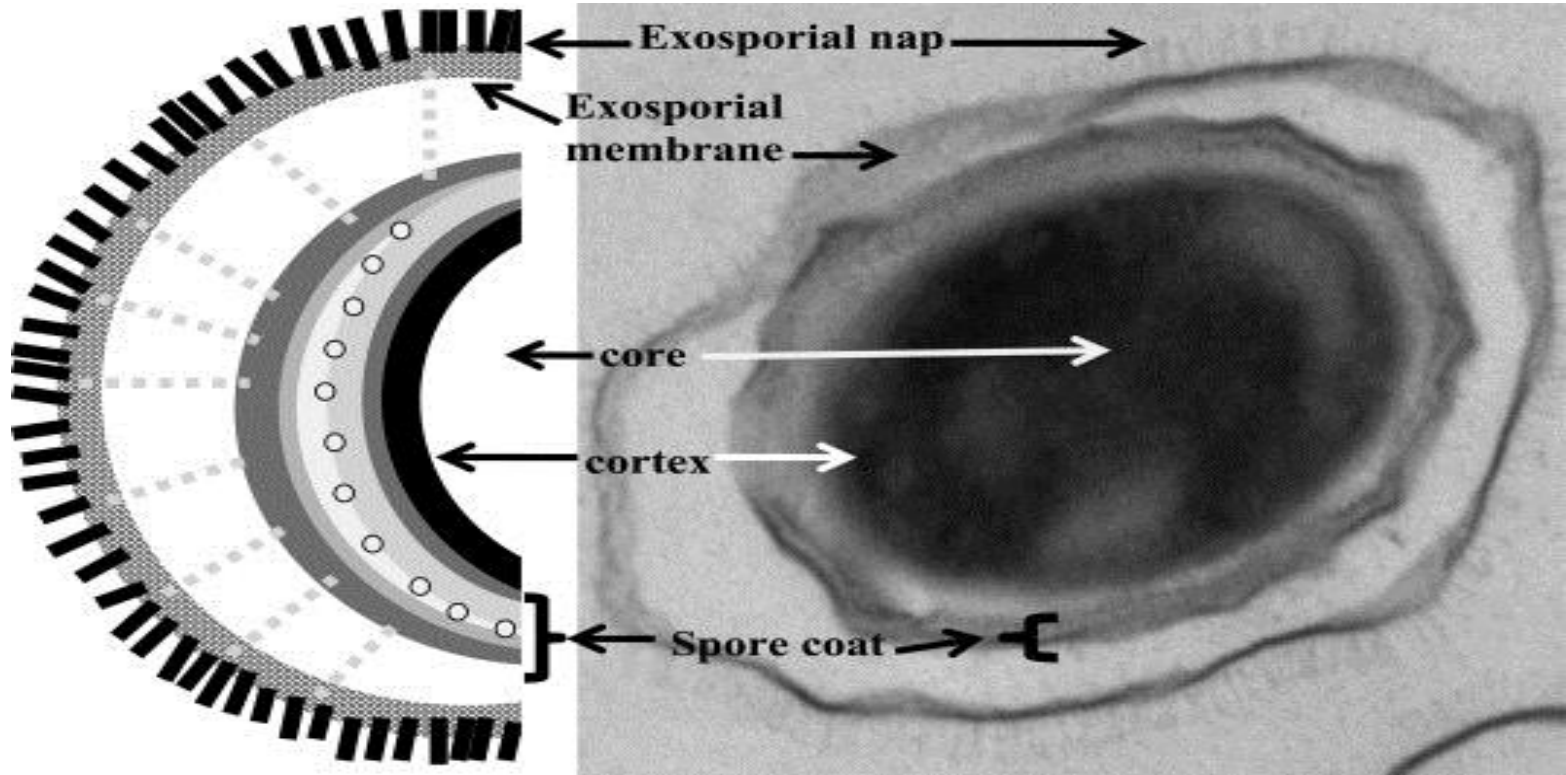
## *Bacillus cereus*

- ISO-7 and ISO-8 cleanrooms
- Process Vessels
  - Source Locations
    - Cleanroom Shoe Cover
    - Fermentor
    - Process Vessels
    - ✓ The Source was a Raw Material



# Exosporium – *B. anthracis*

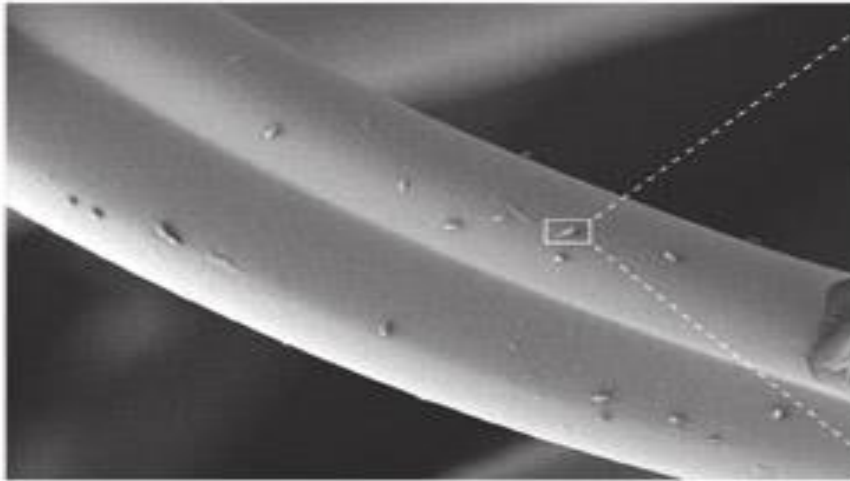
Cote CK et al. 2011. *Microbes and Infection* 13(14-15):1146-55.



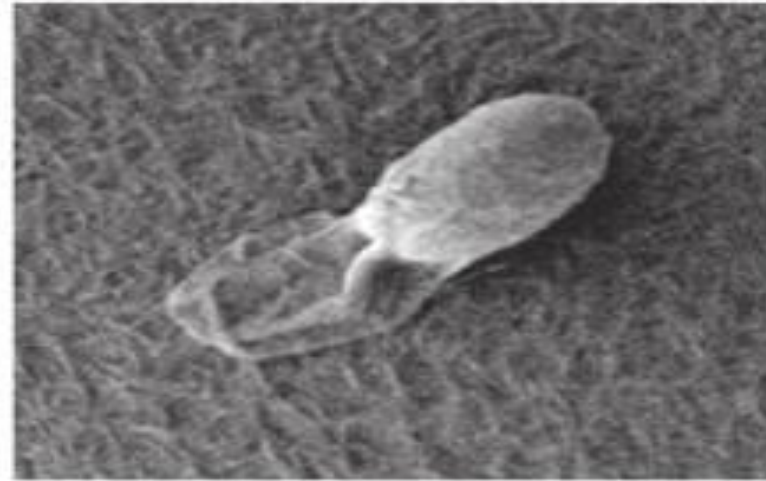


## Exosporium – *B. anthracis*

Hydrophobicity helps adhere to fibers



10 micrometers

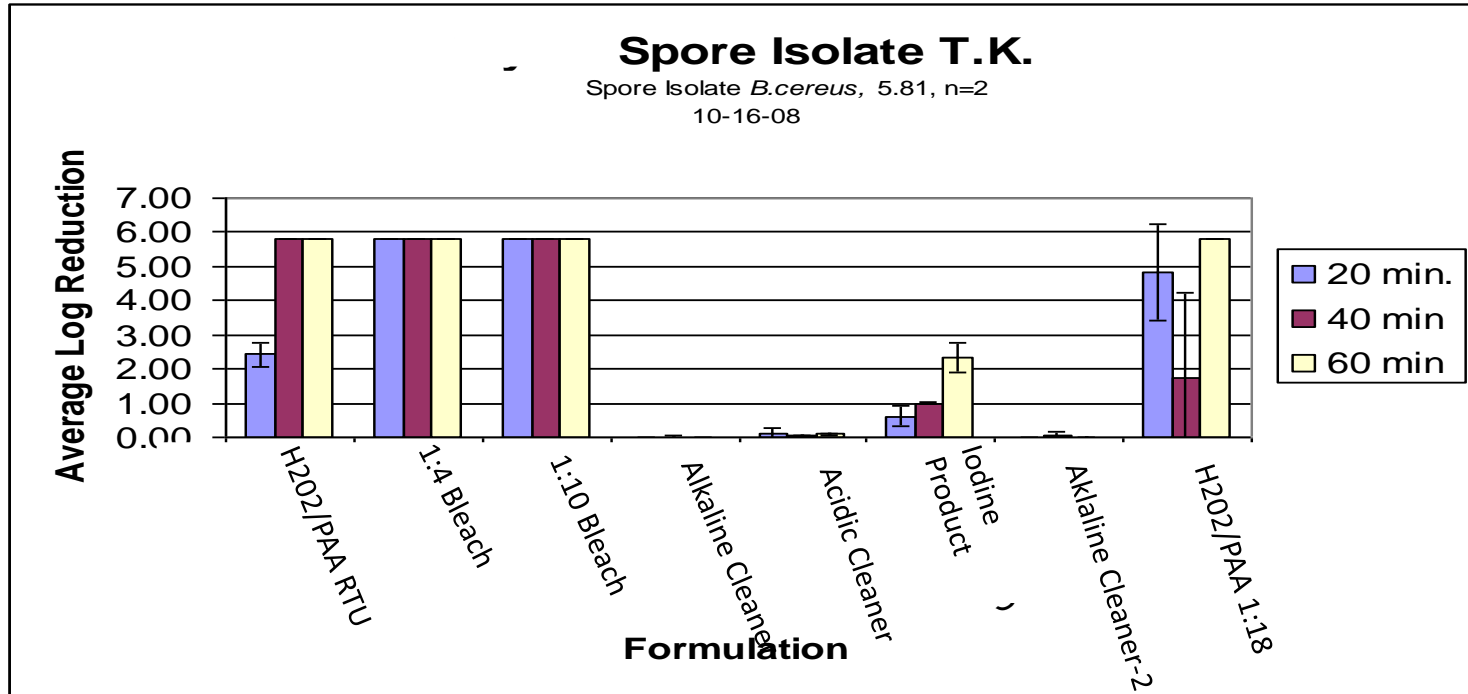


1 micrometer

<https://www.llnl.gov/str/Sep06/Velsko.html>



# Bacillus Testing



## *Bacillus circulans*

- Efficacy Testing Failures (different test methods)
  - Vinyl surfaces
    - Sent out for ID
      - *Paenibacillus*

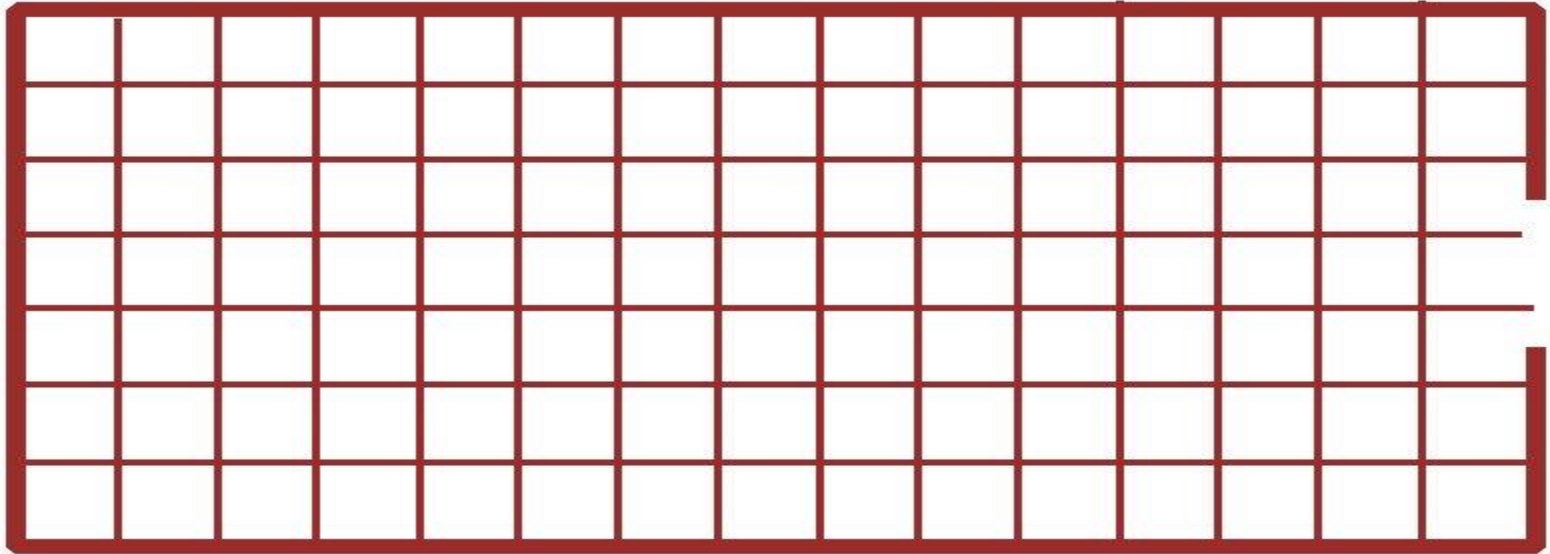


## Case Study: Construction Event at Biotech Site

- Worst Case Events
- 9X Clean [1X Sporicide + 2X Phenolic (day 1) 2X Phenolic +1X Sporicide (day 2), 2X Phenolic +1X Sporicide (day 3)]
- Fogging
- VHP
- Triple Clean
  - ✓ Defined 3X Disinfectants and Sporicide
  - ✓ EM frequency (Static and Dynamic)
  - ✓ Release of the room



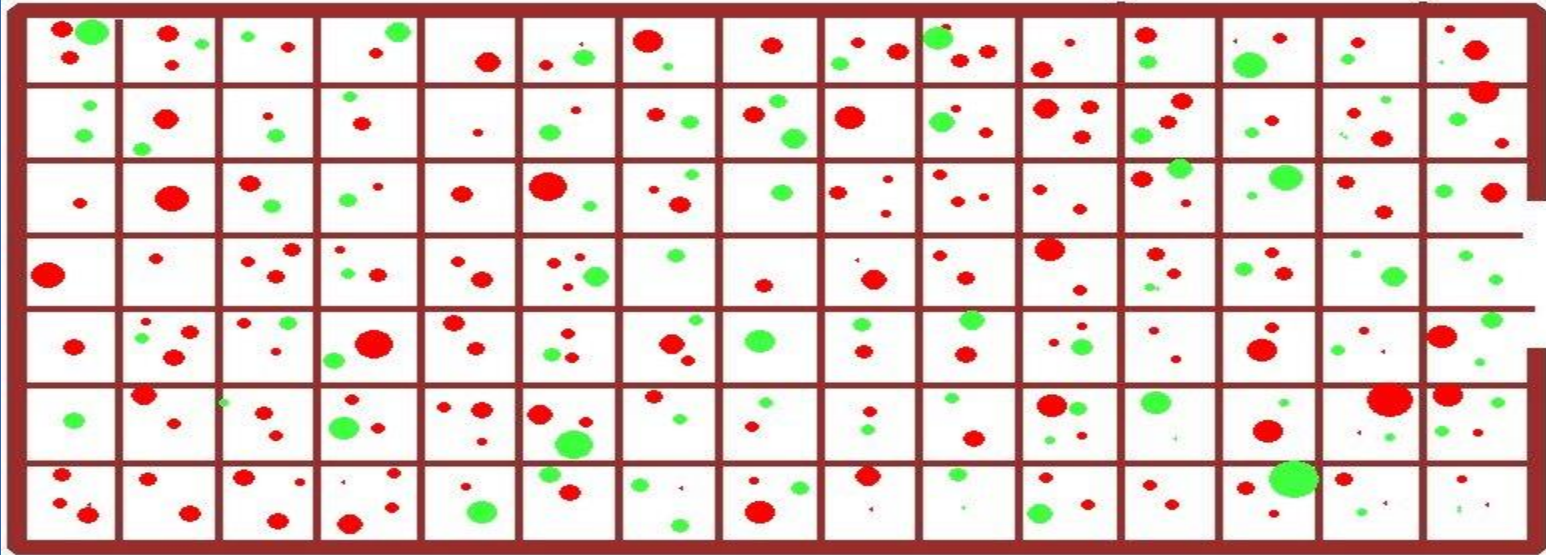
# Cleaning and Disinfection Efficacy



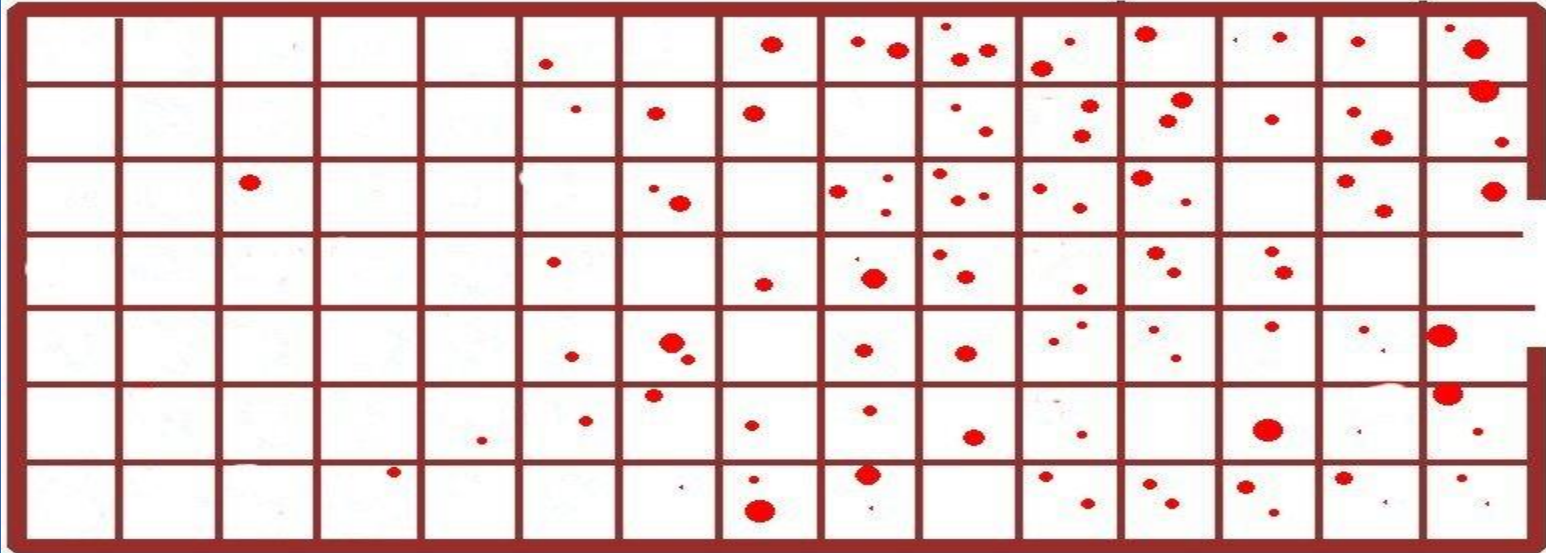
# Time 0

Red = Sporeformers

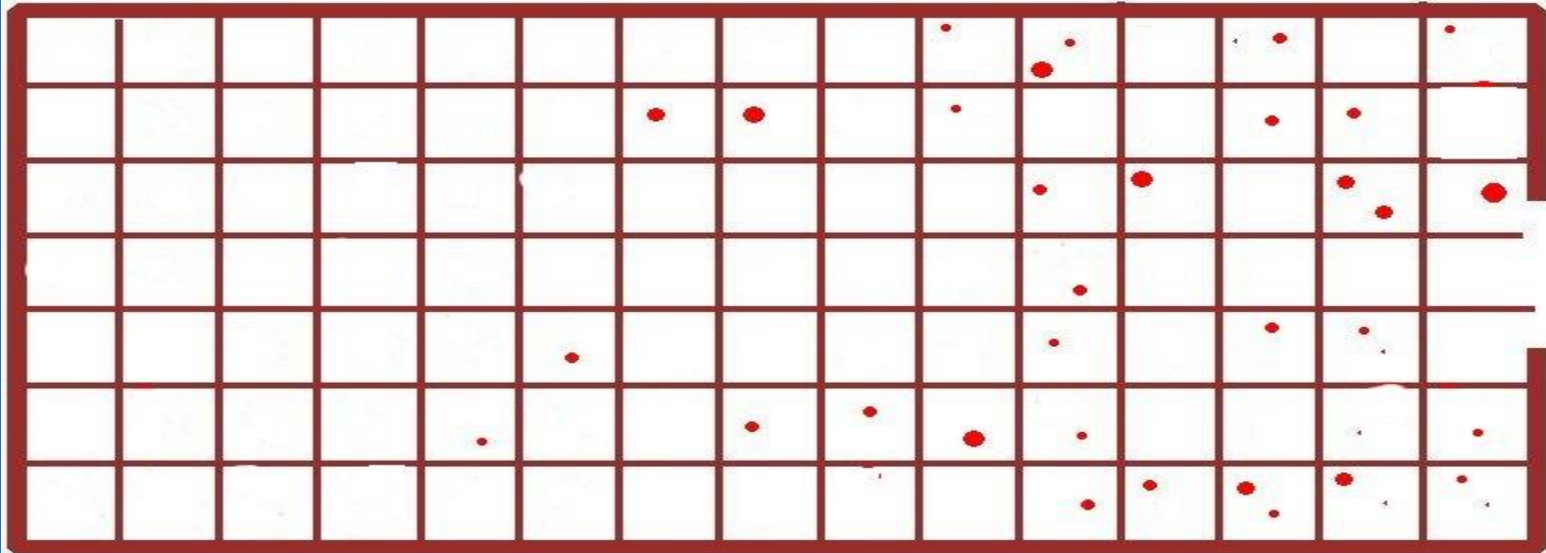
Green = Other



# After 1X Cleaning - NO Sporicide

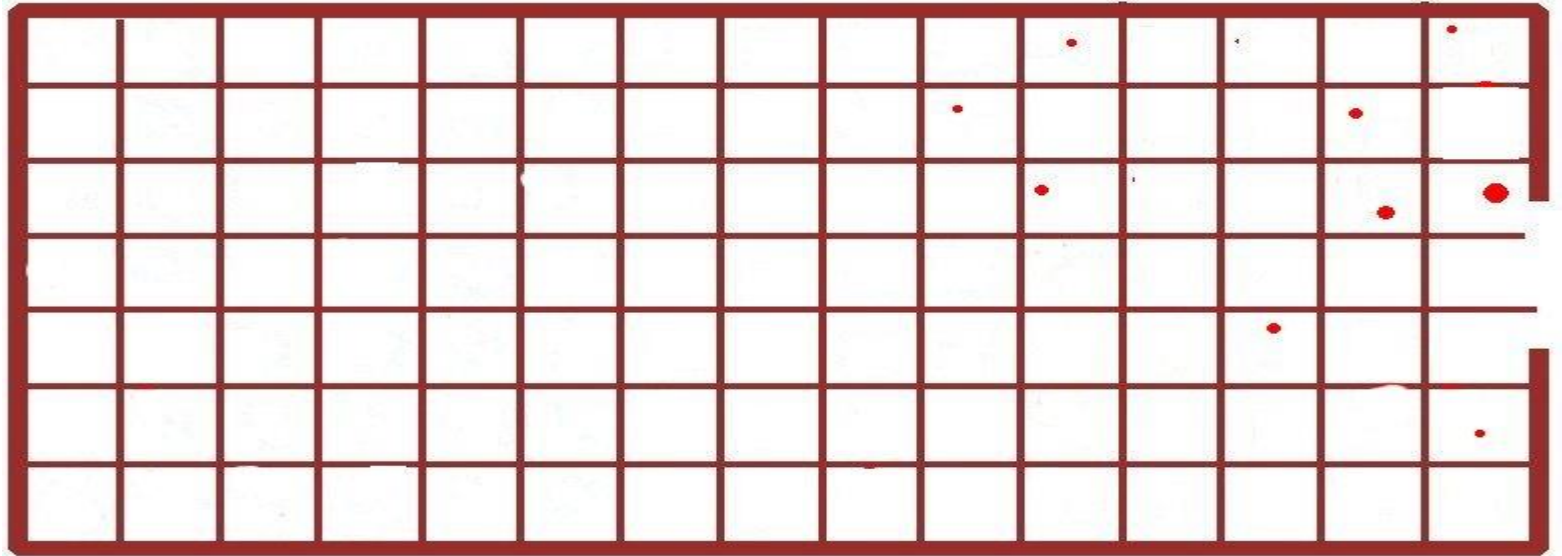


# After 2X Cleaning – NO Sporicide

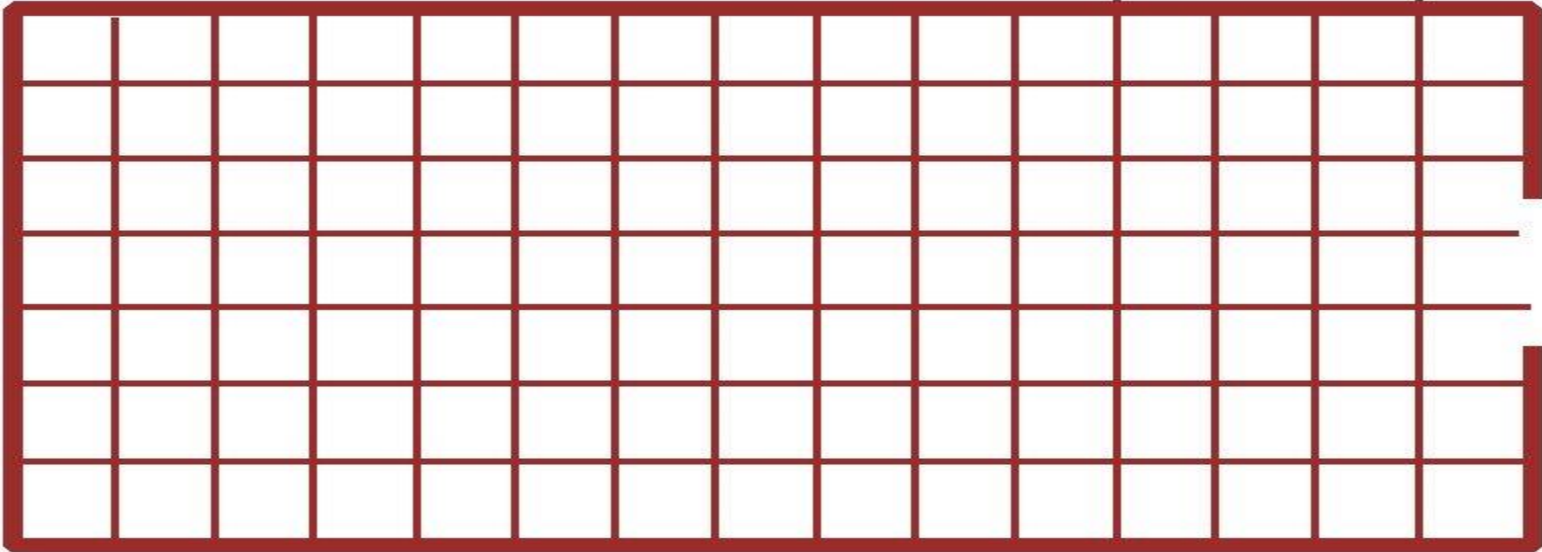




# After 3X Cleaning - No Sporicide



# After Sporicide



# Bioburden Agenda

- Operator Contamination
- Fungal Spore Contamination
- Bacterial Spore Contamination



# Summary

- Case Studies in Bioburden Control
- Starting up a New Cleanroom



# Thank You for Your Time

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**Let us meet again..**

We welcome you all to our future conferences of  
OMICS International

**2<sup>nd</sup> International Conference and Expo  
on**

**Parenterals and Injectables**

**On**

**October 24-26, 2016 at Istanbul, Turkey**

[http://parenterals-  
injectables.pharmaceuticalconferences.com/](http://parenterals-injectables.pharmaceuticalconferences.com/)