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HIGH-EFFICIENCY INTERBAND CASCADE LASERS



***2nd International Conference on Lasers, Optics, and Photonics
Philadelphia, PA, Sept. 8, 2014***

**Charles D. Merritt, William W. Bewley, Chul Soo Kim, Chadwick L. Canedy,
Joshua Abell, Igor Vurgaftman, & Jerry R. Meyer**

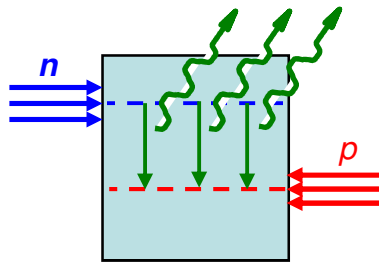
Naval Research Lab, Washington DC 20375 [MWIR_lasers@nrl.navy.mil]

Mijin Kim

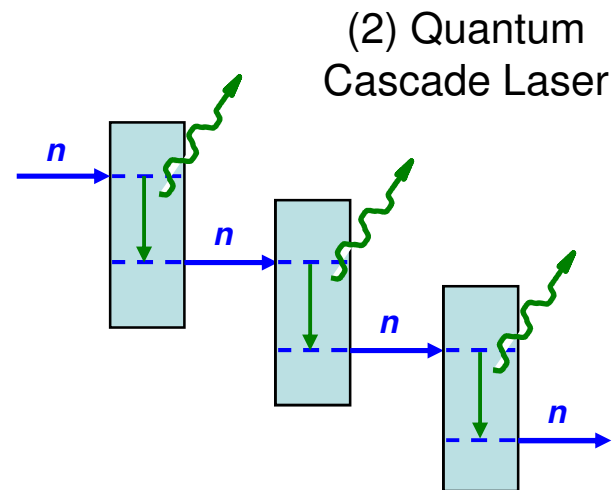
Sotera Defense Solutions, Crofton MD 21114



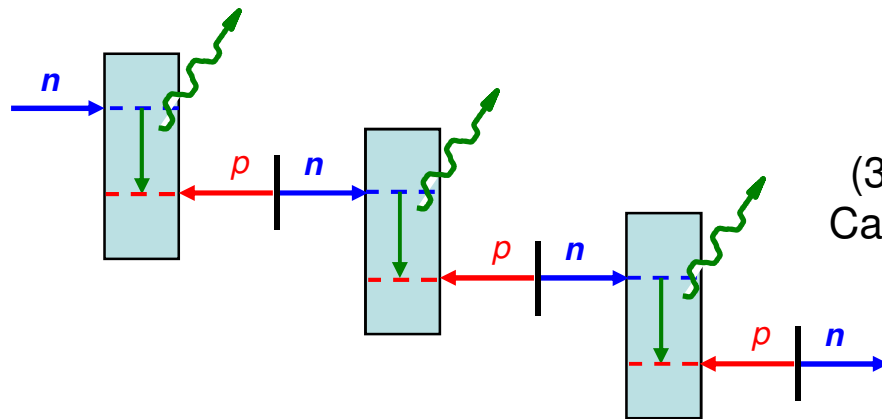
3 DISTINCT WAYS TO PROVIDE CARRIERS FOR POPULATION INVERSION



(1) Conventional Diode Laser



(2) Quantum Cascade Laser

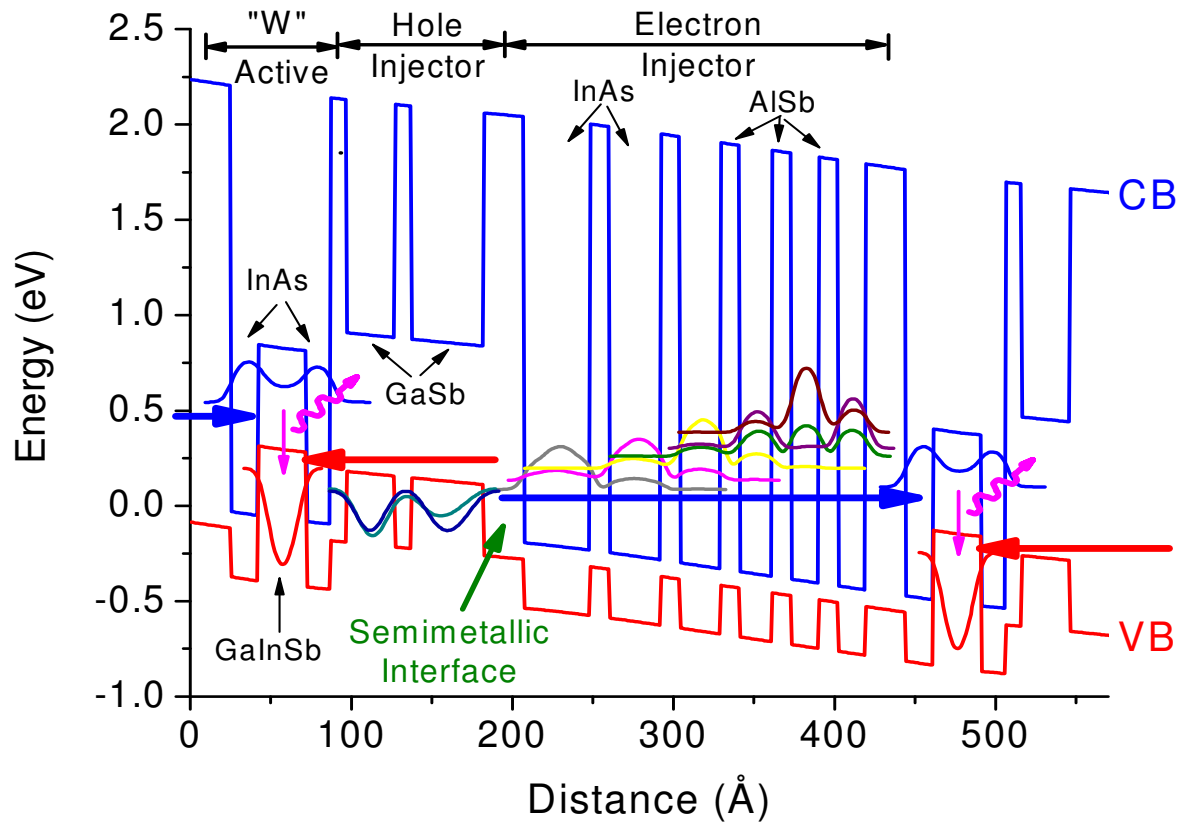


(3) Interband Cascade Laser

Hybrid of conventional diode (Interband active transitions) & QCL (Cascaded stages)



THE INTERBAND CASCADE LASER



1st Proposed: R. Q. Yang (1994)

Design Improvements: NRL (1996)

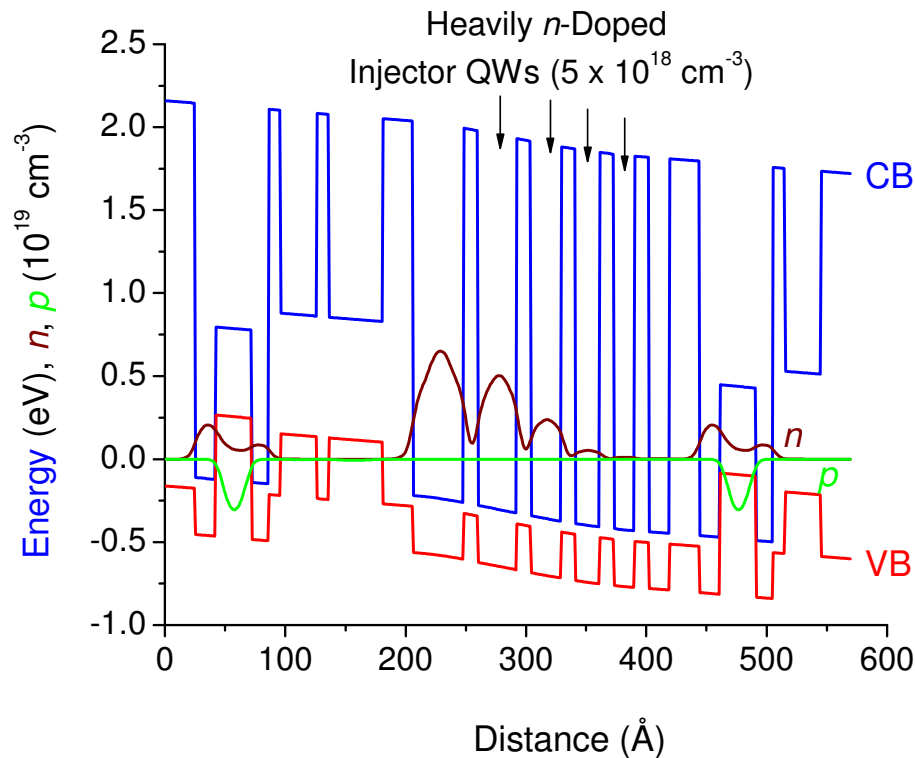
1st Experimental Demo: U. Houston & Sandia (1997)

**Further Development: ARL, Maxion, JPL, U. Oklahoma, U. Würzburg, Nanoplus,
U. St. Andrews**

RT cw operation: NRL (2008)

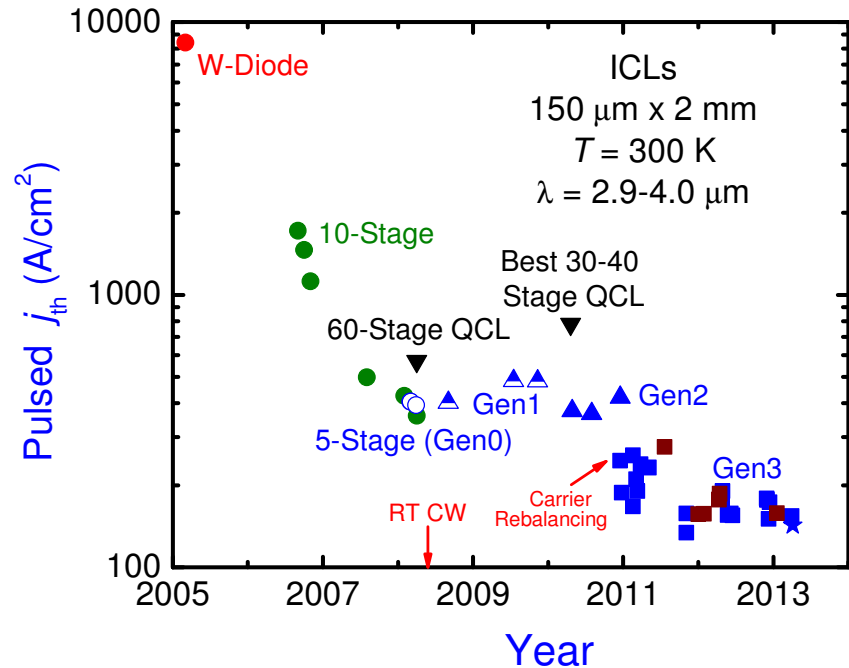


REBALANCING EFFECT ON THRESHOLD



Major performance breakthrough with “carrier rebalancing”, via heavy n -doping of electron injectors, to roughly equalize electron & hole populations in active region

[Vurgaftman et al., Nature Com. 2, 585 (2011); U.S. Patent Application 13/422,309 (2012); International Patent Application PCT/US12/29396 (2012)]



Dramatic threshold reduction compared to all previous ICLs

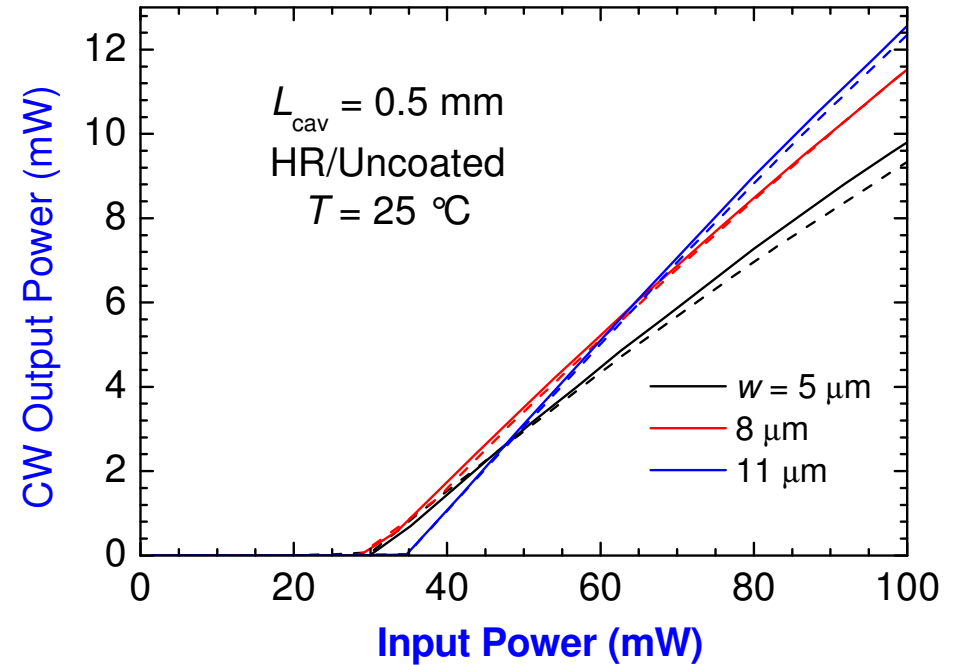
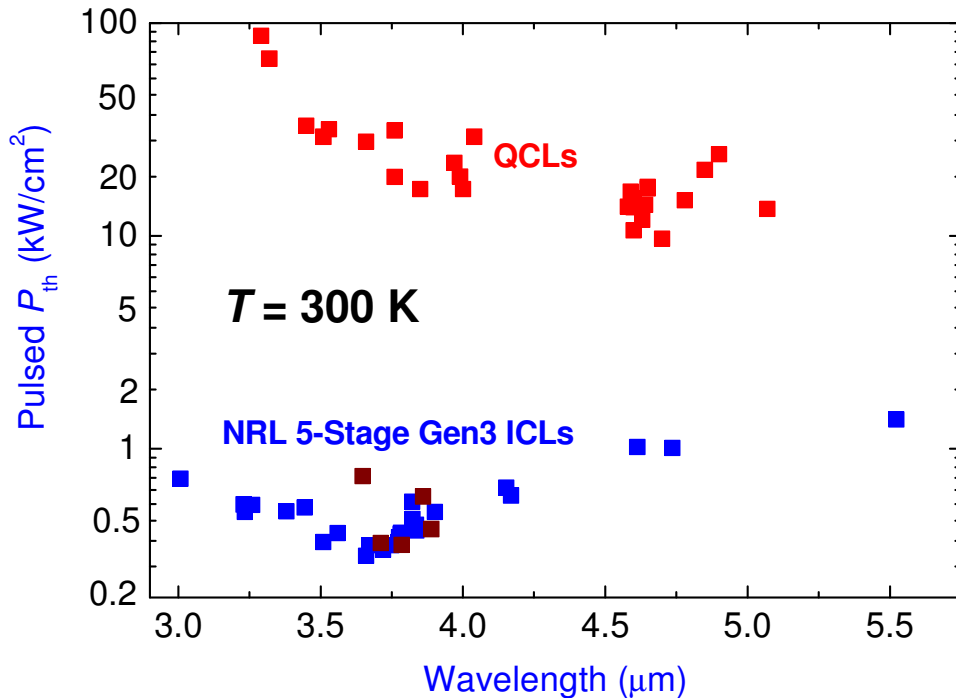


ICL SPECTRAL RANGE & LOW DRIVE POWER

Threshold power density:

$$P_{th} = V_{th} \times j_{th}$$

[Vurgaftman et al., Nature Com. 2, 585 (2011)]



Power density thresholds 30x lower than record QCL results

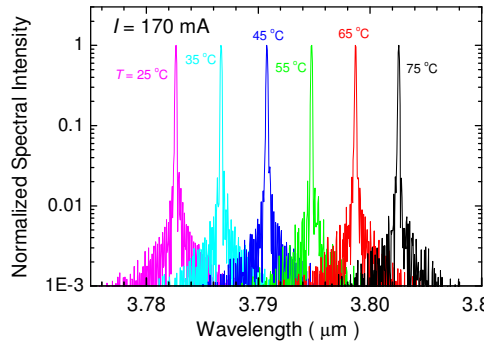
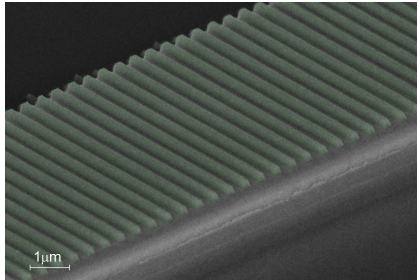
CW operation to $T = 48$ °C @ $\lambda = 5.7$ μ m

$T = 25$ °C: Input for lasing < 30 mW
Best QCL result: ≥ 700 mW
Critical for battery-operated, hand-held, solar-powered, etc.



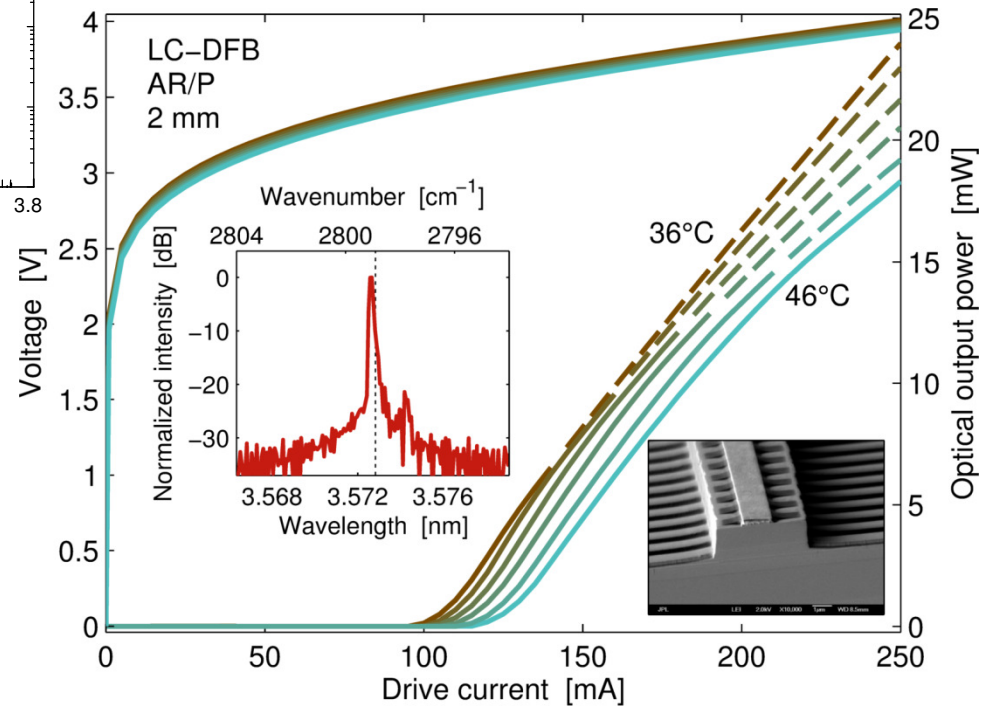
DFB ICLs

Grown, processed, & tested @ NRL: **Grating etched into deposited Ge** [Kim et al., APL 101, 061104 (2012)]

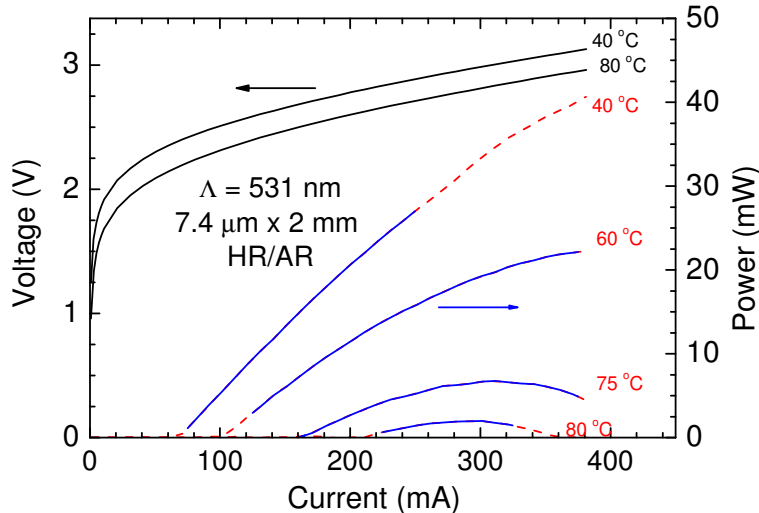


Grown @ NRL; Processed & tested @ JPL: **2nd-order side grating yields single mode**

[Forouhar, et al., to be published]



Single-mode tuning with temp: **21.5 nm**
with current: **10 nm**



$P_{\text{out}}^{\text{cw}} = 27 \text{ mW} @ T = 40 \text{ }^\circ\text{C}$, $1 \text{ mW} @ 80 \text{ }^\circ\text{C}$
Threshold drive power = $280 \text{ mW} @ 40 \text{ }^\circ\text{C}$

$P_{\text{out}}^{\text{cw}} = 18 \text{ mW} @ T = 46 \text{ }^\circ\text{C}$

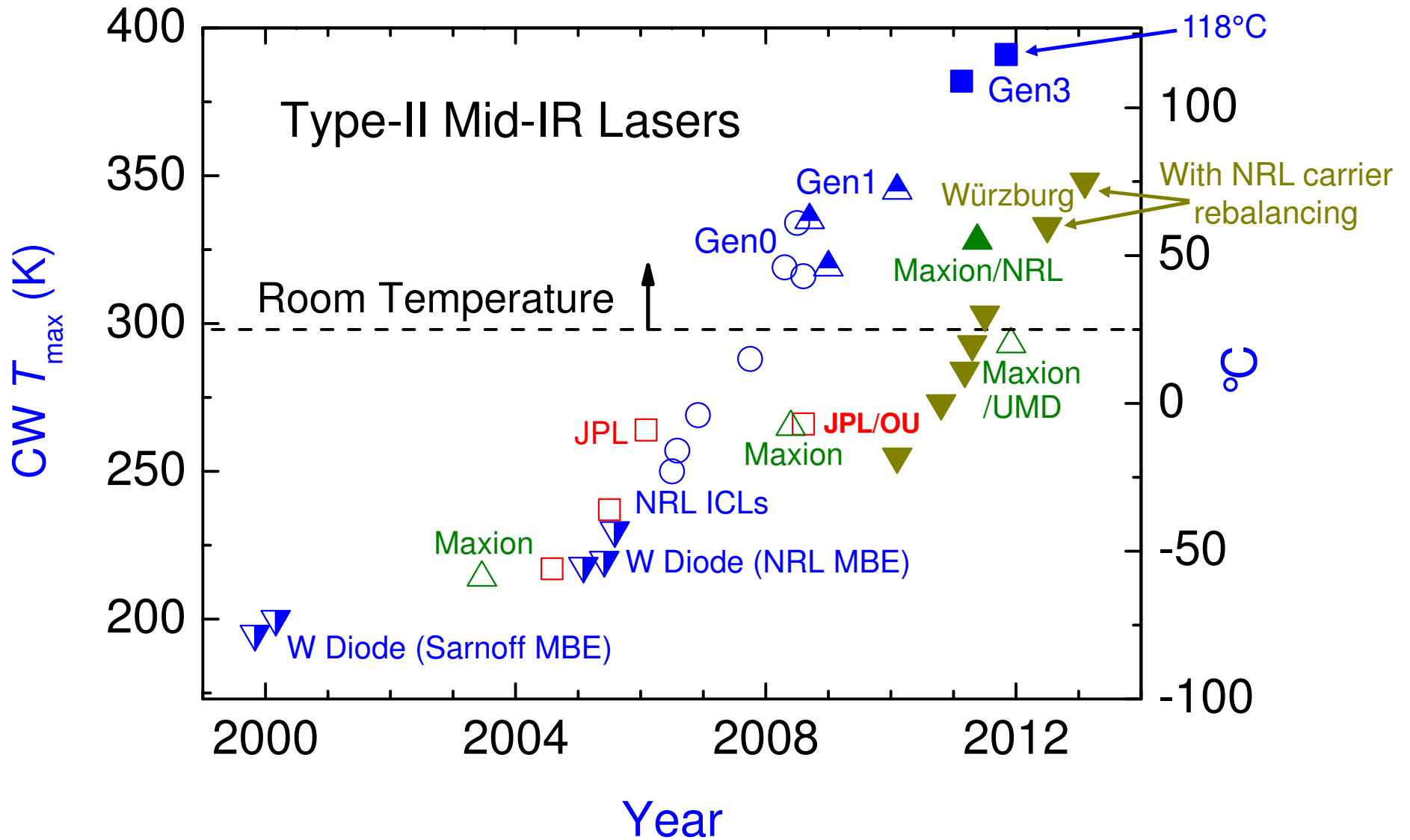
Threshold drive power < $400 \text{ mW} @ 36 \text{ }^\circ\text{C}$

Lifetime testing: > 10,000 hrs. cw operation
@ $40 \text{ }^\circ\text{C}$ with negligible degradation



EPI-DOWN MOUNTING: HIGHER T_{max}^{CW}

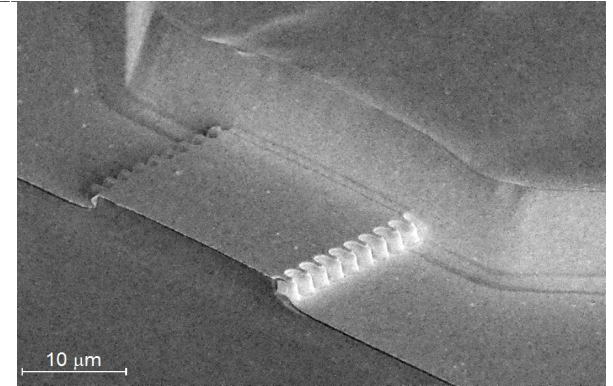
[Bewley et al., Opt. Expr. 20, 20894 (2012); U.S. Provisional Patent Application 61611800 (2012)]



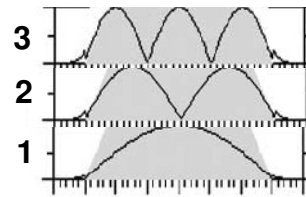


CORRUGATED-SIDEWALL ICLs

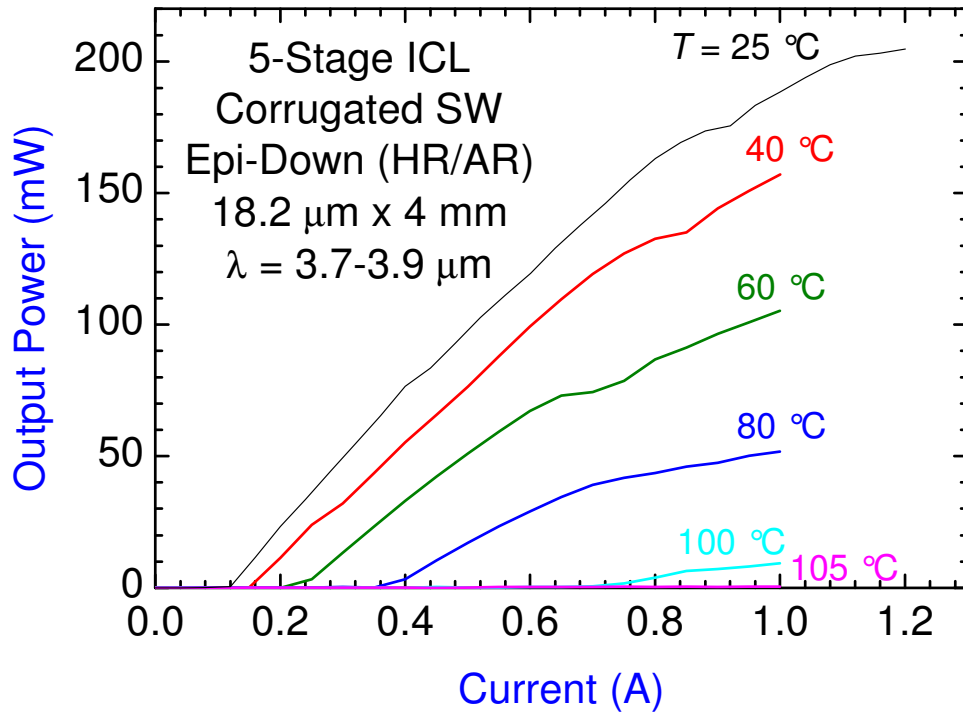
[Bewley et al., *Opt. Expr.* 20, 20894 (2012)]



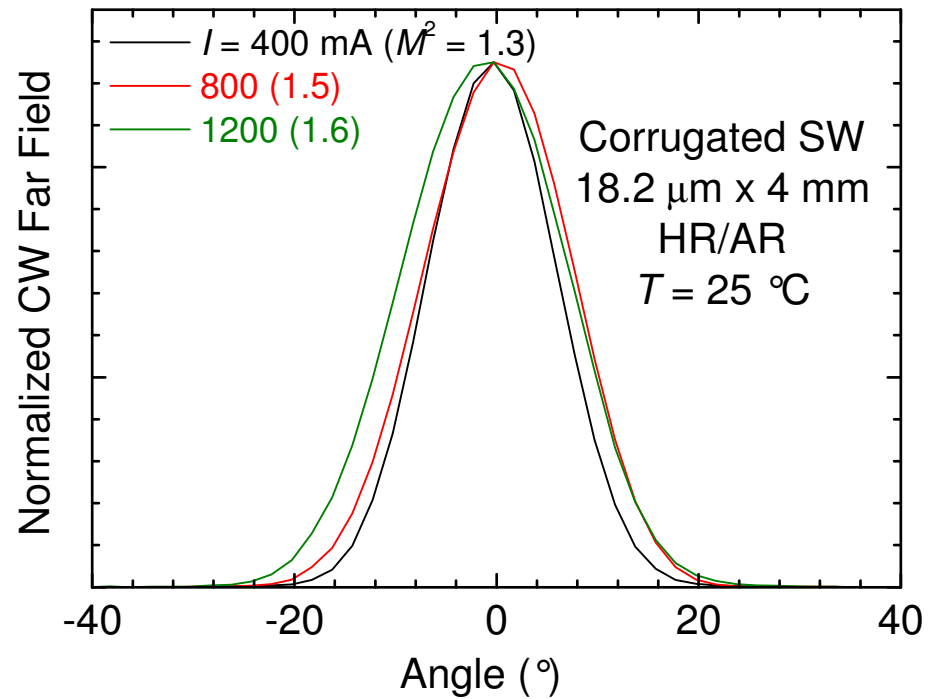
Lateral Mode Profiles



Ridge



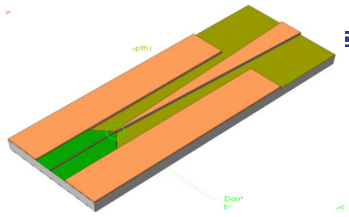
$P_{\text{max}}^{\text{cw}} > 200$ mW @ $T = 25$ °C ($M^2 = 1.6$)



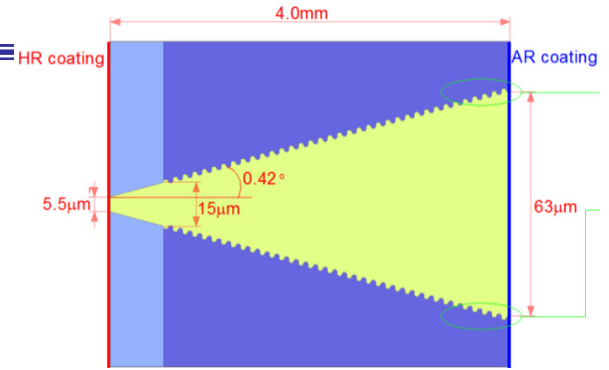
Wider ridge (25.1 μm): 305 mW ($M^2 = 2.2$); WPE = 6.6% @ P_{max}



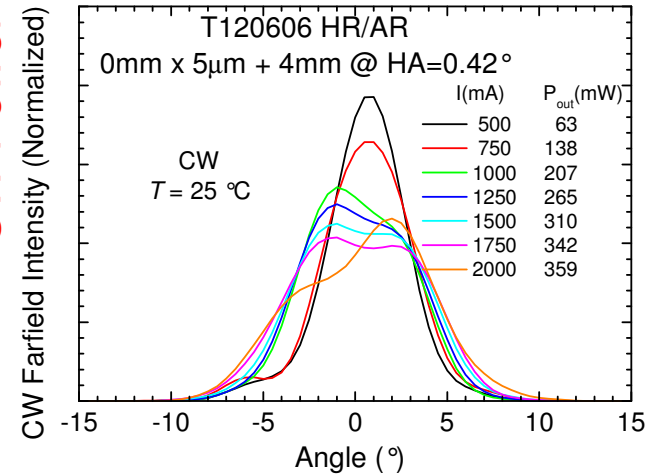
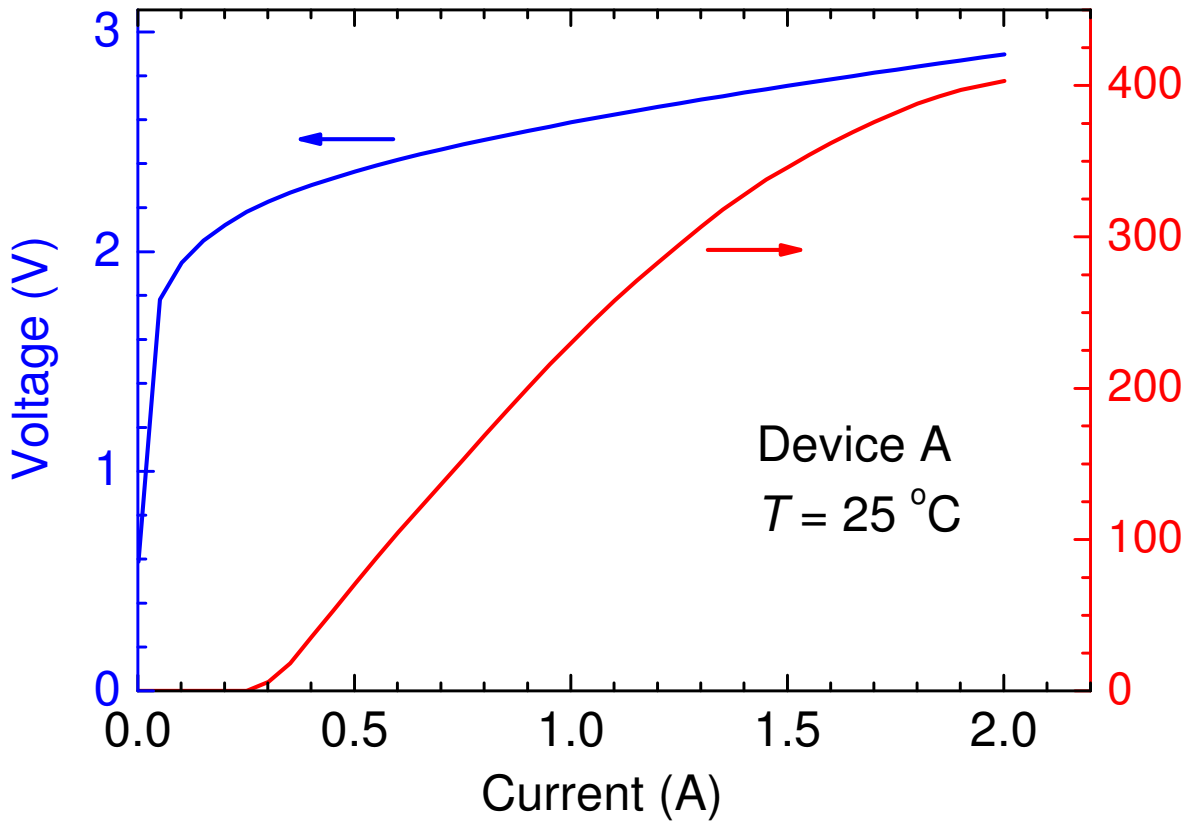
TAPERED ICLs



[Bewley et al., APL 103, 111111 (2013)]



Tapered ridge with no straight section

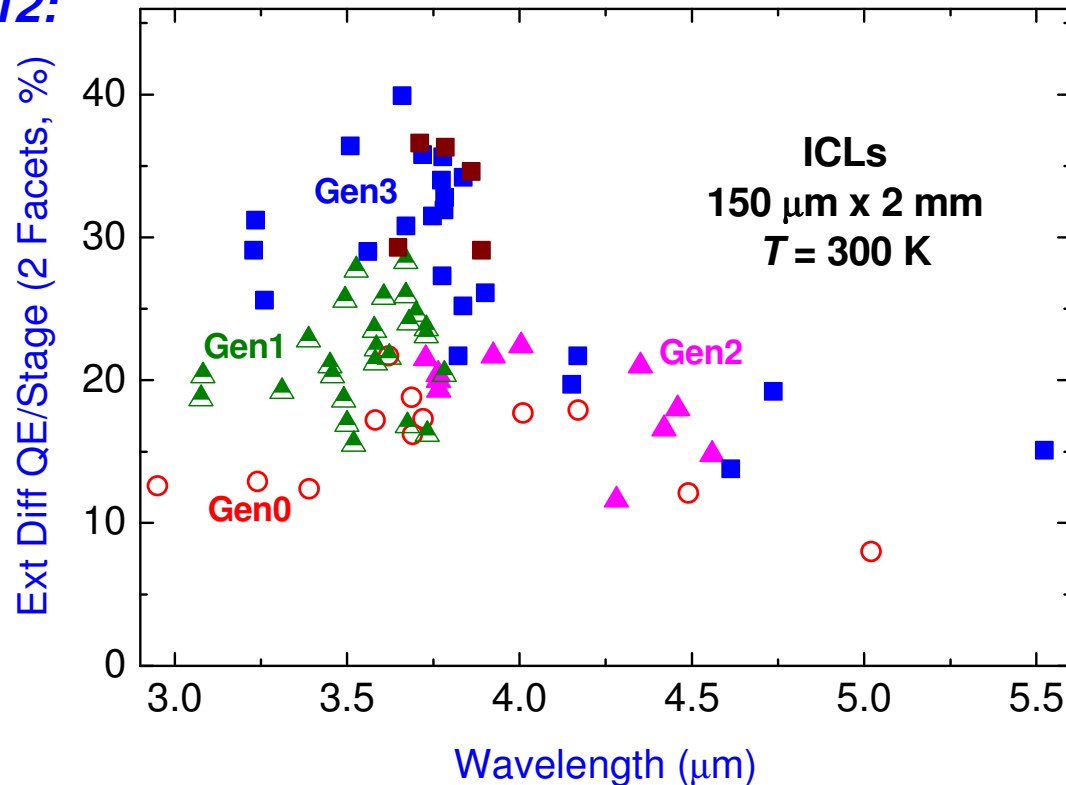


$P_{\max}^{\text{cw}} (25\text{ }^{\circ}\text{C}) = 403\text{ mW} (M^2 = 2.3), \text{ WPE} = 7.0\% @ P_{\max}$



TO FURTHER ENHANCE POWER & BRIGHTNESS: INCREASE EFFICIENCY

As of October 2012:



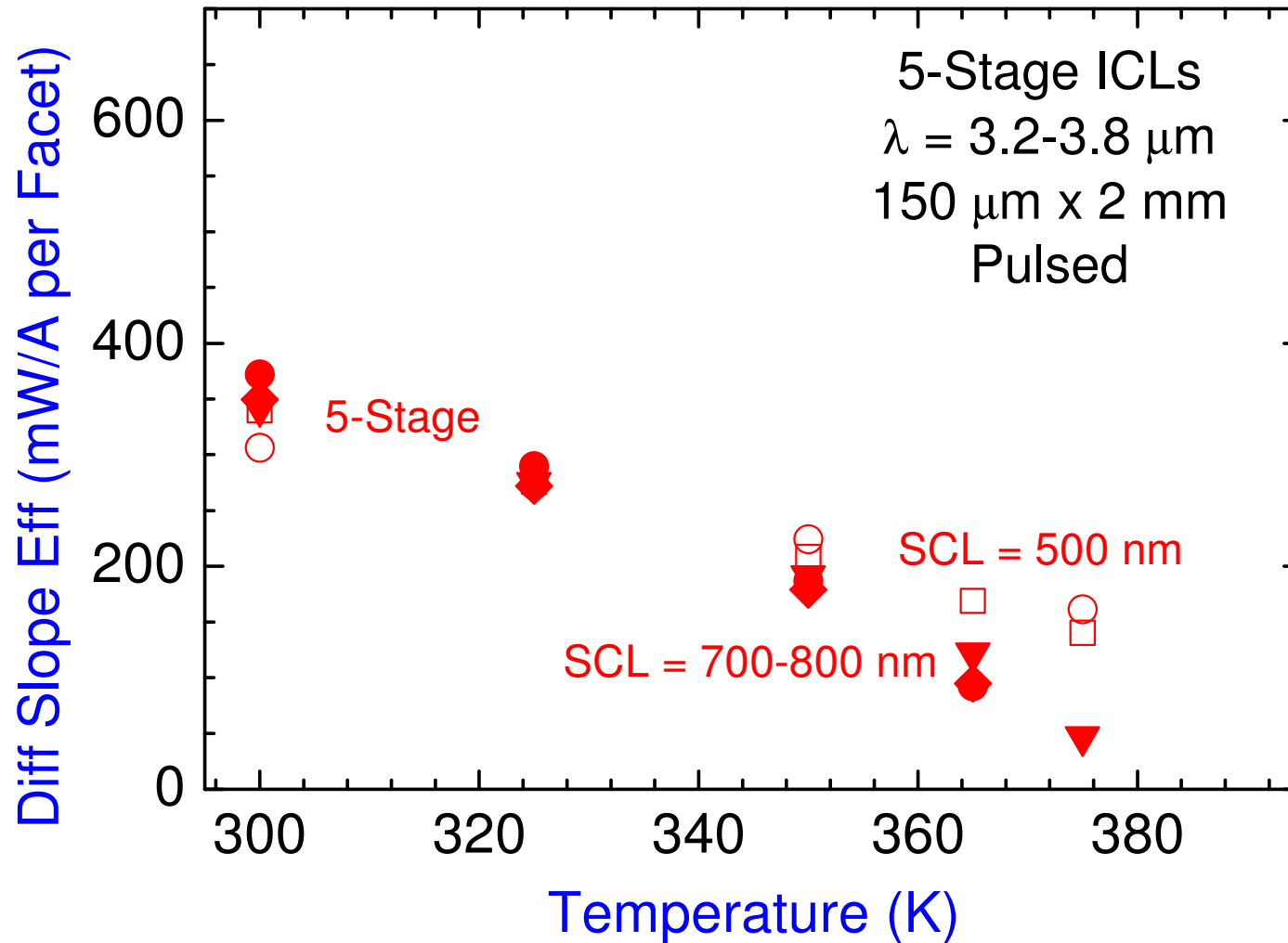
Try varying other parameters in rich ICL design space, e.g.:

- Thicker n -GaSb separate confinement layers (SCLs), for lower mode overlap with active & clad, hence reduced loss
- More active stages (e.g., 7 vs. 5), for higher slope efficiency & gain





FIRST 5 STAGES WITH THICKER SCLs

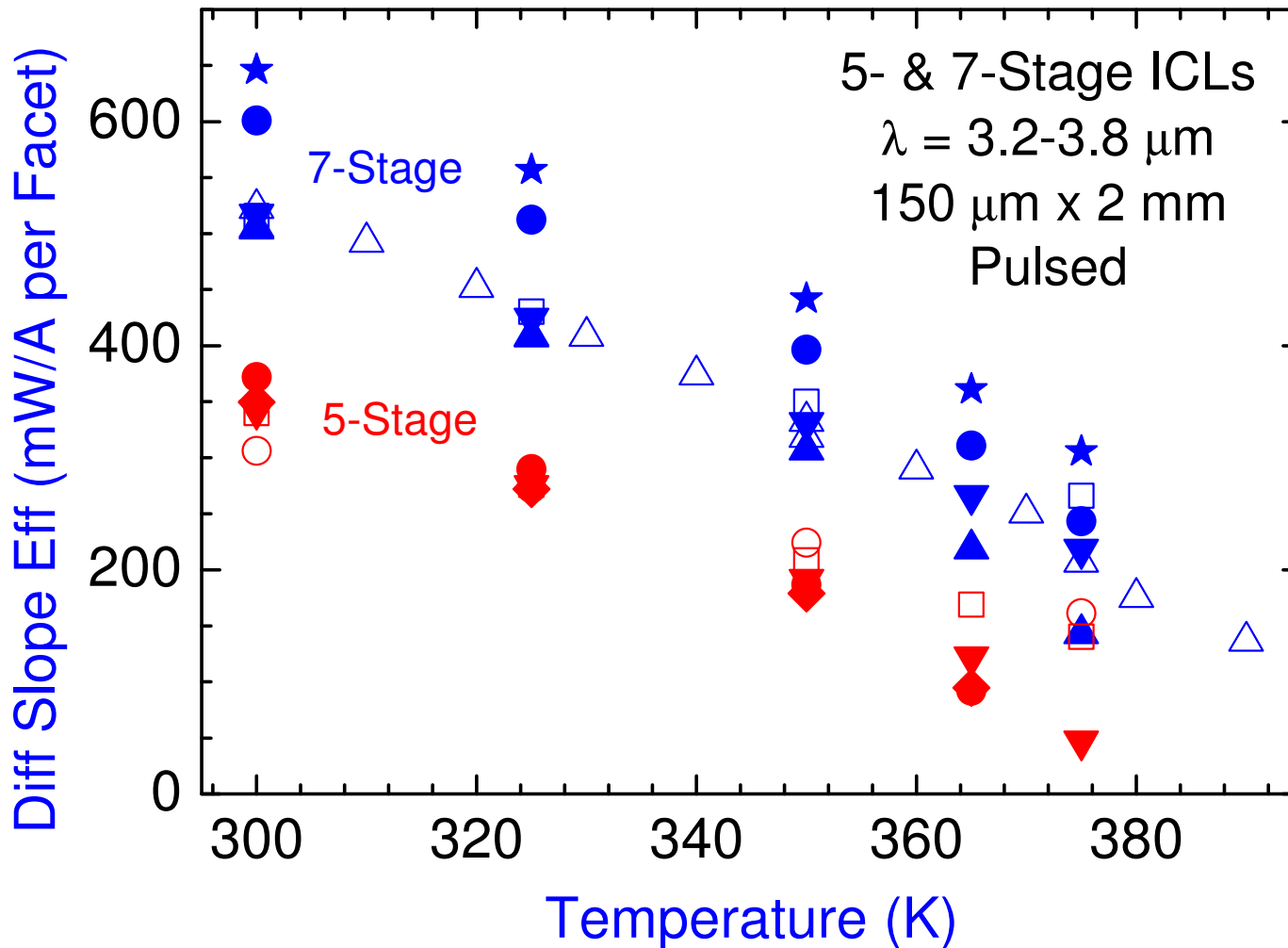


Thick SCLs increase efficiency at 300 K, but fail to provide enough gain at high T



7 STAGES

[Bewley et al., Opt. Expr. 22, 7702 (2014)]

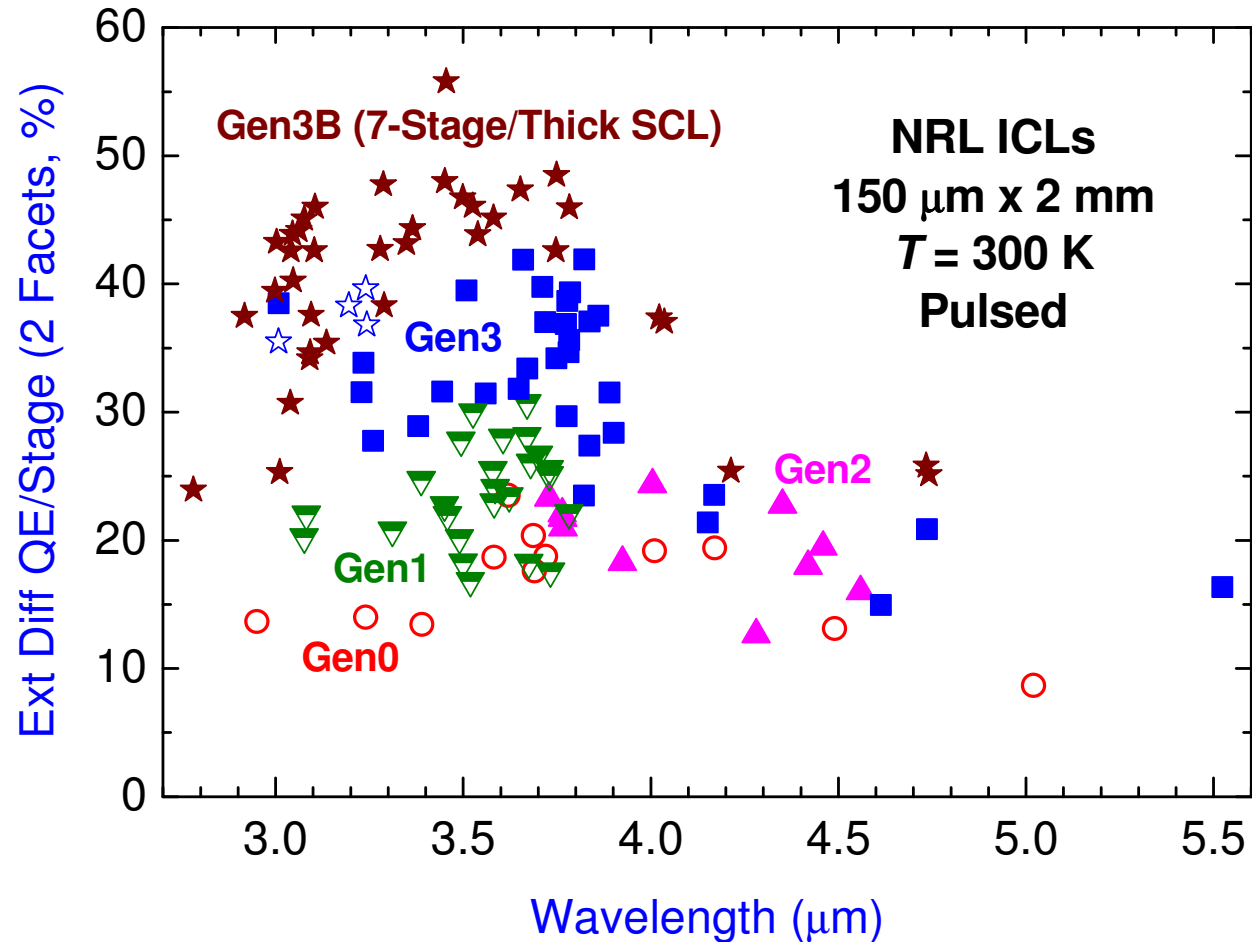


Thick SCLs increase advantage at 300 K, while retaining sufficient gain at high T
Even better news: $\text{Slope}_7/\text{Slope}_5 > 7/5$ indicates lower loss!



EXTERNAL DIFFERENTIAL QUANTUM EFFICIENCY

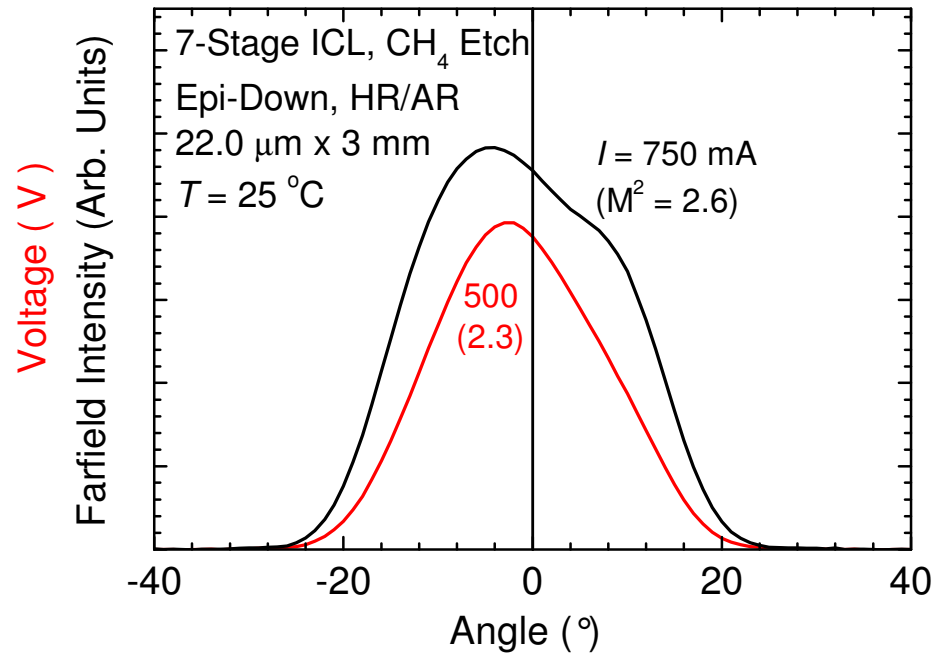
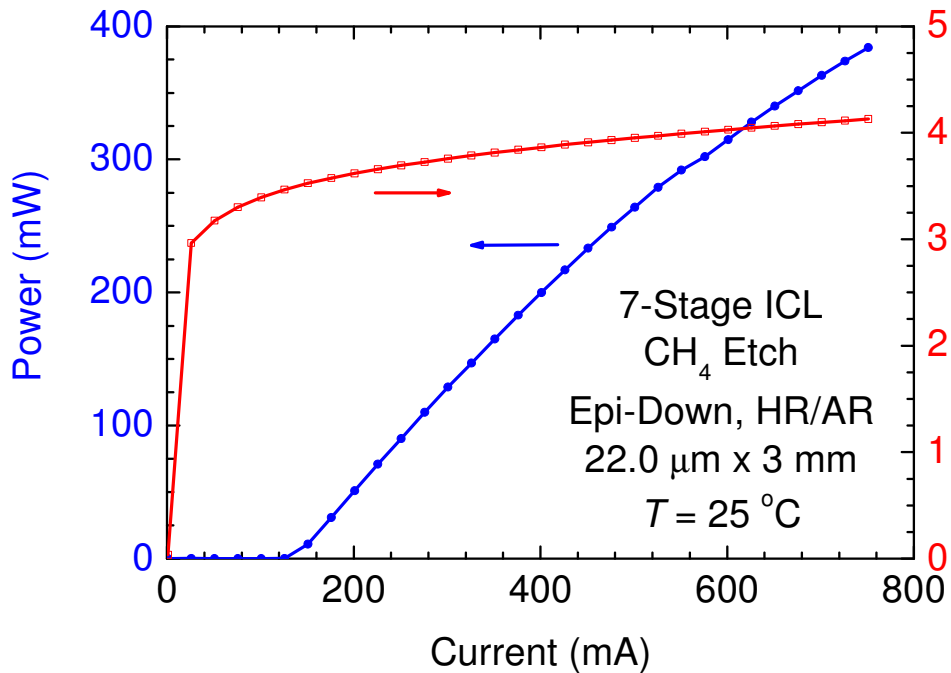
Result is significantly higher EDQE:



7-stage ICLs with thick SCLs (Gen3B) exhibit higher EDQE & lower loss at all λ



CW POWER & FAR FIELD PROFILE



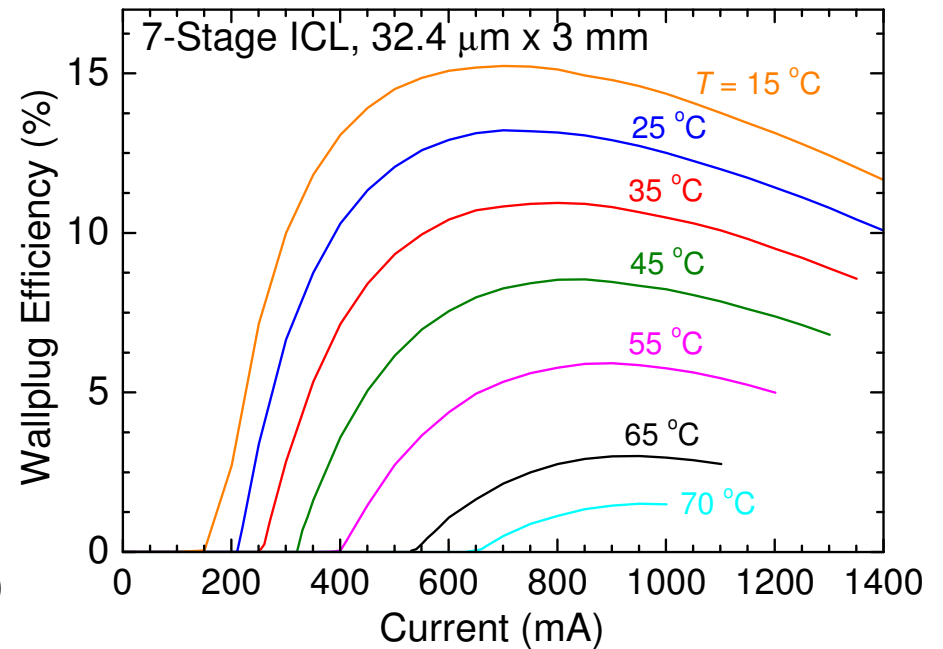
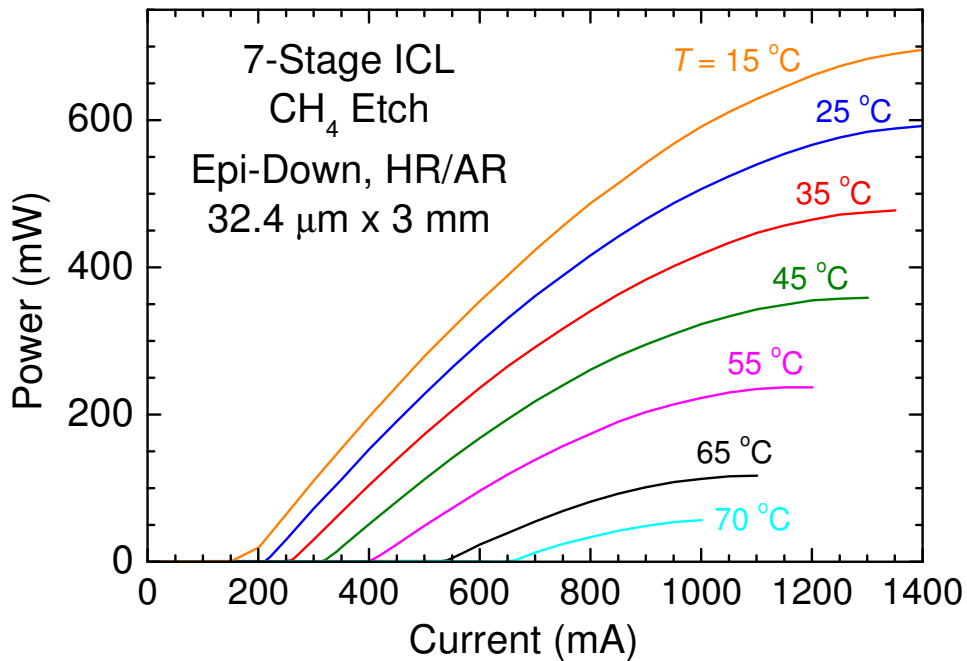
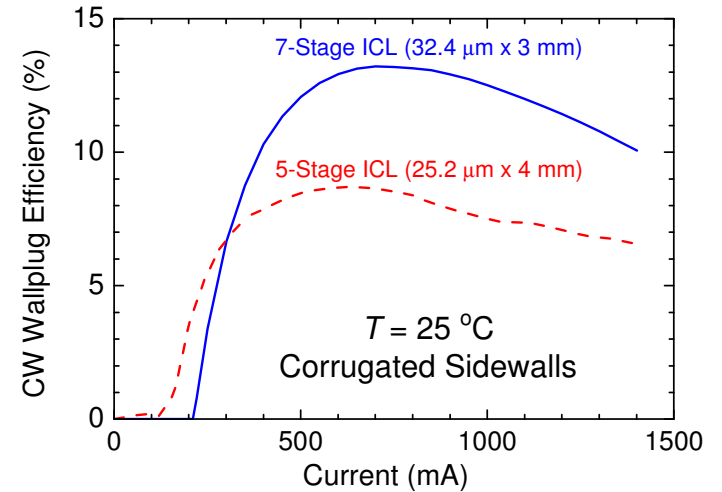
$P_{\max}^{\text{CW}} = 384 \text{ mW}$ in high-quality beam ($M^2 = 2.6$)

WPE = 12.4%



WIDER RIDGE ($w = 32 \mu\text{m}$)

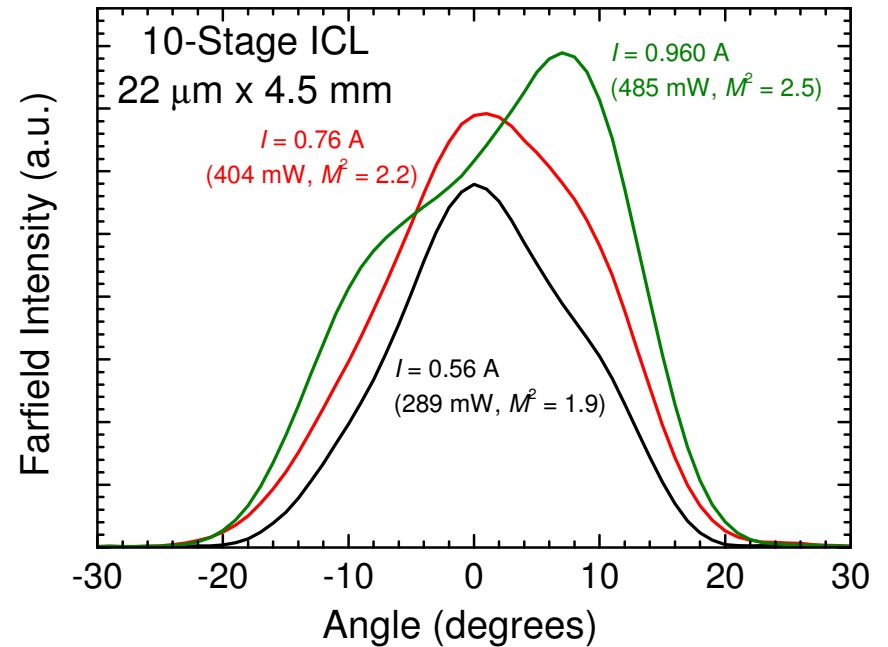
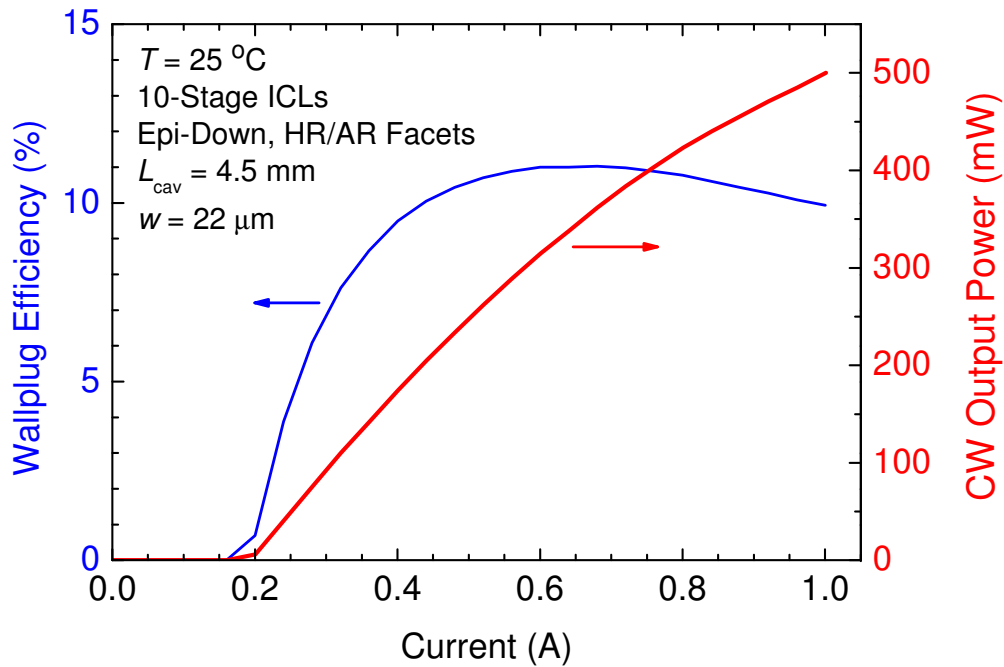
[Bewley et al., Opt. Expr. 22, 7702 (2014)]



$P_{\text{max}}^{\text{CW}}$ up to 592 mW (WPE = 10.1%, $M^2 = 3.7$) @ 25°C ; 696 mW (11.7%) @ 15°C



LATEST RESULTS: 10 STAGES ($\lambda = 3.45 \mu\text{m}$)

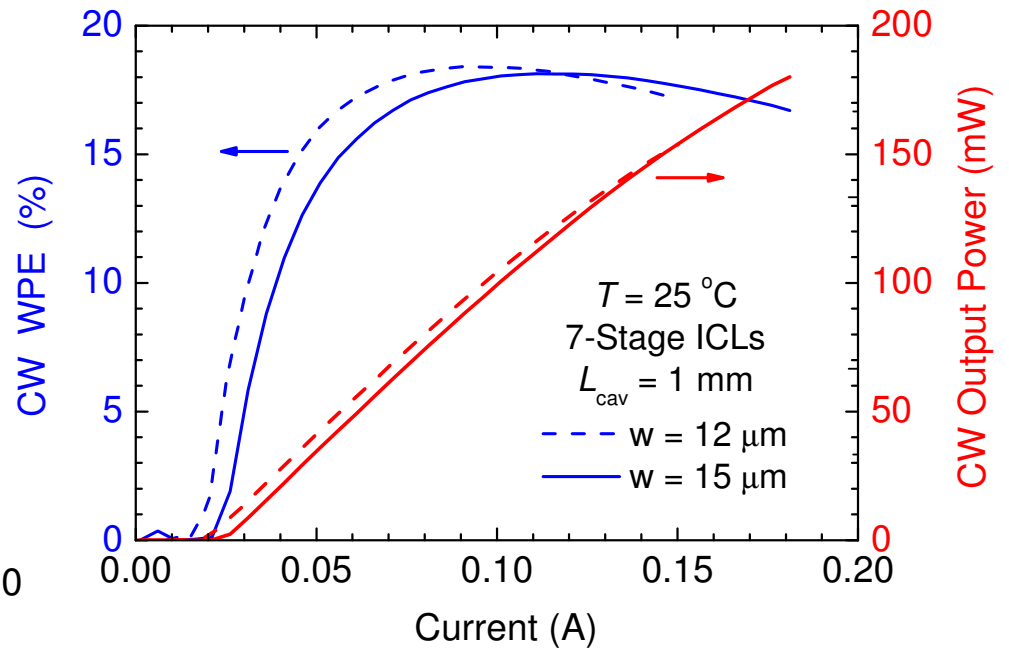
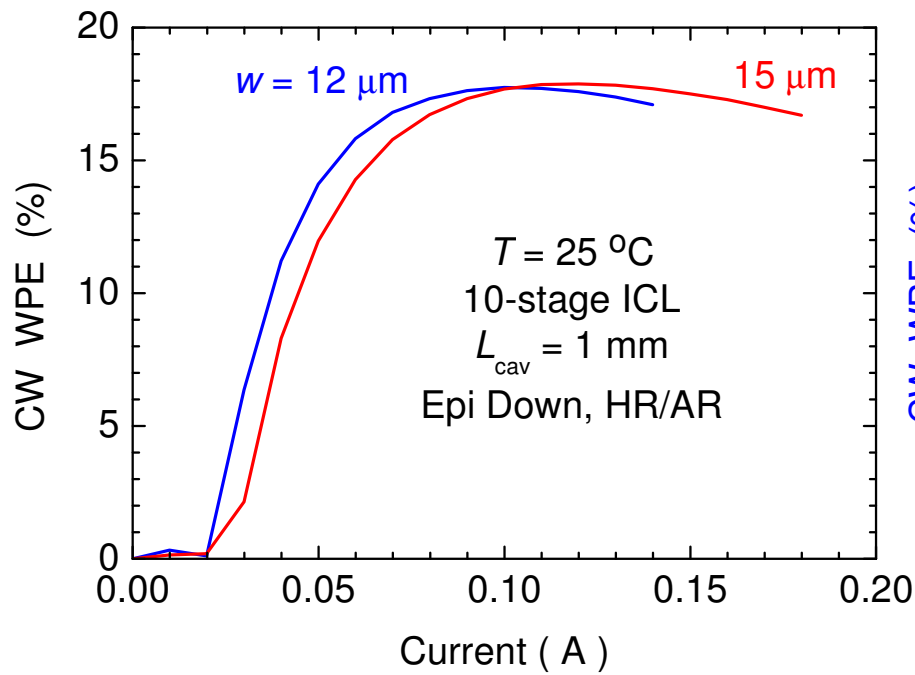


Also: $P_{\text{max}}^{\text{CW}} = 464 \text{ mW}$ (WPE = 11%, $M^2 = 1.9$) @ 25 $^\circ\text{C}$



RECORD ICL WALLPLUG EFFICIENCIES

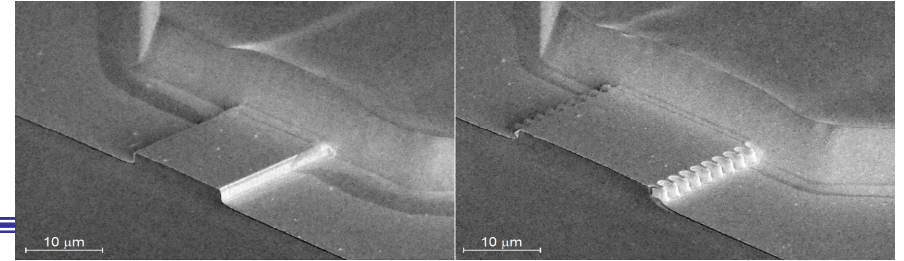
With much shorter 1 mm cavity:



CW WPEs for 4 devices from 2 wafers (7-Stage & 10-Stage): $\approx 18\%$



SUMMARY: CW POWER & BRIGHTNESS



Year	Stages	λ (μm)	α_i (cm^{-1})	Ridge	Mount	L_{cav} (mm)	width (μm)	$P_{\text{max}}^{25\text{C}}$ (mW)	WPE(P_{max}) (%)	M^2	Brightness (P_{max}/M^2)
2008	5	3.75	12.2	Straight	Epi-Up	3	9	10	0.7	≈ 2	5
2009	5	3.67	6.6	"	"	3	10	59	3.1	≈ 2	30
2011	5	3.57	6.9	"	"	3	11	158	9.9	3	53
2012	5	3.66	4.5	Straight	Epi-Down	4	11	198	7.1	1.8	110
				Corrug.	"	"	25	305	6.5	2.2	139
2013	5	3.72	5.2	Tapered	"	4	5 - 63	403	7.0	2.3	175
2014	7	3.45	3.0	Corrug.	"	3	28	522	10.3	3.1	168
	10	3.45	3.4	Corrug.	"	4.5	18	464	11.2	1.9	245
	7	3.11	3.3	Corrug.	"	4.5	18	326	6.9	1.3	243



QCL vs. ICL

- QCLs much more widely studied & matured, provide very high cw output powers
- ICLs provide much lower power dissipation, possibility for vertical emission, & minimal beam steering – also less mature, so more room for improvement
- $\lambda = 3\text{-}4\ \mu\text{m}$: ICLs generally preferred
 - QCLs now produce $P_{\text{max}}^{\text{cw}} > 1\ \text{W}$ @ RT, but higher threshold, lower efficiency, & questionable yield thus far
- $\lambda = 4\text{-}6\ \mu\text{m}$: QCL sweet spot for high power (Up to 5 W cw demonstrated)
 - But ICL still preferred in applications requiring low power from ultra-compact battery-operated package (e.g. laser spectroscopy)
- $\lambda = 2.5\text{-}15\ \mu\text{m}$ LEDs: Only ICLs suitable for top emission
- $\lambda = 6\text{-}150\ \mu\text{m}$ Lasers: QCLs preferred (so far, high loss in ICLs)
- *QCLs & ICLs can complement each other throughout mid-IR*



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